Scandinavian Object Shift, Remnant VP-Topicalisation, and Optimality Theory

Sten Vikner, Department of English, Institute of Language, Literature & Culture, University of Aarhus, DK-8000 Århus C, Denmark

sten.vikner@hum.au.dk - www.hum.au.dk/engelsk/engsv

(until July 2009: Department of Linguistics, University of Cambridge)

(joint work with Eva Engels, University of Aarhus, Denmark, eva.engels@hum.au.dk)

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Abstract

The leftward movement of pronominal objects in Scandinavian called "Object Shift" requires the verb to have moved out of VP. This constraint is known as "Holmberg's generalisation".

In Holmberg (1999), placement of the non-finite verb in clause-initial position as in (i) is analysed as V° -topicalisation with subsequent Object Shift.

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(i) Sw. Kysst har jag henne inte ___ _ ( bara hållit henne i handen ).

*kissed have I her not ( only held her by hand-the )

(example from Holmberg 1999:7)
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Counterexamples to Holmberg's hypothesis are given in Fox & Pesetsky (2005a) which show that remnant VP-topicalisations may leave behind an object in Object Shift position in Scandinavian as long as the VP-internal order relations are maintained, as illustrated by the asymmetry between stranding of a direct object and stranding of an indirect object in double object constructions:

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(ii) Sw. a. ? [VP Gett henne ___ ] har jag den inte.

given her have I it not

b. * [VP Gett ___ den ] har jag henne inte.

given it have I her not

(examples from Fox & Pesetsky 2005a:25)
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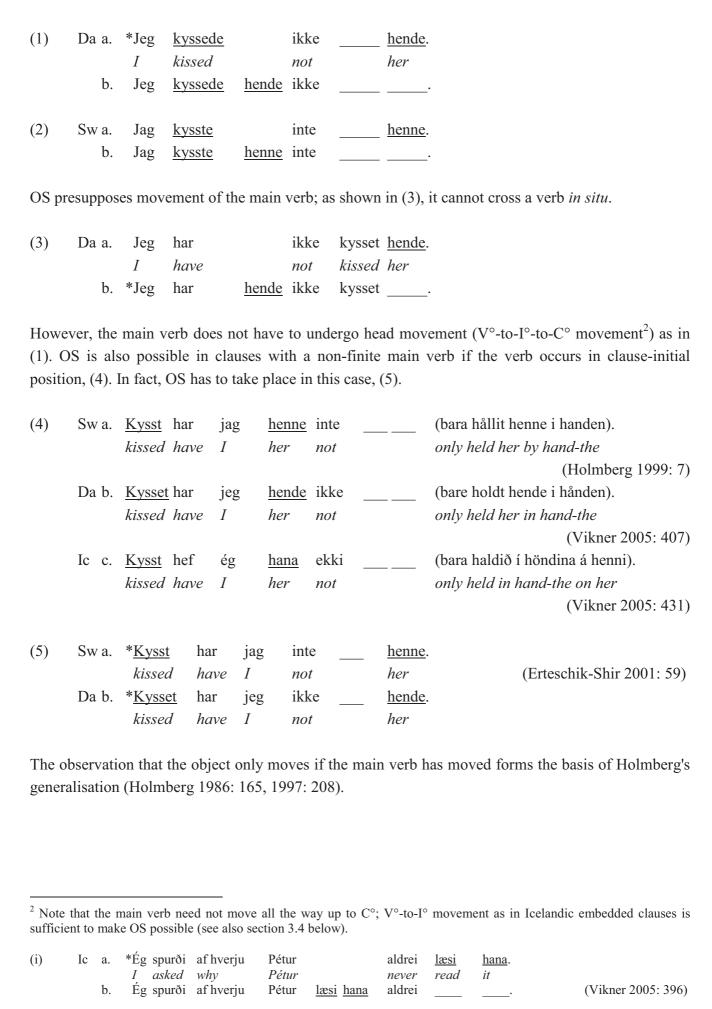
Based on an extended set of data, including e.g. remnant VP-topicalisation after passivization and remnant VP-topicalisation out of embedded clauses, we shall argue that within an Optimality Theory analysis, Holmberg's generalisation and the restrictions on object stranding may be seen to result from one and the same, more general (and violable) condition on order preservation.

1 Introduction

In the Scandinavian languages, an unstressed pronominal object may move from its base position behind the main verb to a position to the left of a sentential adverbial. This movement operation is called Object Shift (OS). OS is obligatory in Icelandic, Faroese, and Danish, (1), but optional in Norwegian and Swedish, (2).

¹ In Icelandic, not only pronouns but also full DPs may undergo OS, (i). In the Mainland Scandinavian languages, in contrast, OS is restricted to weak pronouns; cf. (1) vs. (ii).

(i)	Ic	a. b.	Af hverju why Af hverju	read	Pétur <i>Pétur</i> Pétur	þessa bók	aldrei never aldrei	 <u>bessa bók</u> ? this book ?	(Vikner 2005: 417)
(ii)	Da	a.	Hvorfor why	<u>læste</u> read	Peter Peter		aldrig never	 den her bog? this here book	
		b.	*Hvorfor	<u>læste</u>	Peter	den her bog	aldrig	?	(Vikner 2005: 417)



Vikner: Scandinavian OS, Remnant VP-Topicalisation, and OT, p. 3

(6) Holmberg's Generalisation (HG)

(Holmberg 1997: 208)

Object Shift is blocked by any phonologically visible category preceding/c-commanding the object position within VP.

[Here "within VP" has to mean that only elements "properly inside" VP (i.e. not adverbials or other elements adjoined to VP) may block object shift.

E.E. & S.V.]

The definition in (6) is vague with respect to whether precedence and/or c-command of a phonologically visible category blocks movement. In the 1999 version of the paper, Holmberg formulates HG in terms of asymmetric c-command. For reasons to become clear in section 3.1 below, the first option will be pursued here, taking HG to be the consequence of a violable condition on order preservation (cf. Déprez 1994, Müller 2001a, Sells 2001, Williams 2003, and Fox & Pesetsky 2005a).

Holmberg's generalisation prohibits OS across a non-adverbial constituent to its left. In fact, however, the condition on order preservation seems to be even more general than is expected by Holmberg's generalisation. As Fox & Pesetsky (2005a) observe, stranding of an object during remnant VP-topicalisation is only possible if the object is right-peripheral within VP: In double object constructions, topicalisation of a non-finite main verb may take along the IO, stranding the DO in shifted position, (7)a. By contrast, the opposite is not possible, topicalisation of the non-finite main verb carrying along the DO but stranding the IO, (7)b.³

In section 2, we set out the basics of our analysis which is couched in an Optimality Theory framework with constraints which are violable and ranked, in particular a constraint on order preservation. We also briefly compare our approach to the one in Fox & Pesetsky (2003, 2005a,b).

In section 3, we then go on to discuss four different asymmetries related to stranding during remnant VP-topicalisation: between direct and indirect objects in 3.1, between Danish and Swedish particle verbs and *let*-constructions in 3.2, between leaving behind an object and leaving behind a subject in 3.3, and finally between remnant VP-topicalisation out of a main clause and remnant VP-topicalisation out of an embedded clause in 3.4.

Section 4 summarizes the main points of the paper.

³ Considering Holmberg's generalisation to be a derivational condition, Holmberg (1999) takes examples like (4) to involve V°-topicalisation: Moving the verb (and nothing but the verb) to topic position is what paves the way for OS. However, examples like (7) show that remnant VP-topicalisation is possible in Scandinavian, as admitted in Holmberg (2005:148), where Holmberg also gives the example repeated as (34)c below.

2 Approaches to Object Shift and order preservation

2.1 Order preservation as a ranked and violable constraint

OS is motivated by the constraint SHIFTPRON which outranks the constraint STAY that prohibits movement.⁴

(8) SHIFT PRONOUN (SHIFTPRON):

A [-focus] proform ("min = max")⁵ is adjoined to the maximal extended VP of its case—assigning verb.

(9) STAY:

Trace is not allowed.

(Grimshaw 1997: 374)

SHIFTPRON is satisfied if the pronoun is adjoined to the top VP, as illustrated in (11) below.⁶ As discussed in the next subsection, we follow Fox & Pesetsky (2005a) in assuming HG to result from a condition on order preservation (see also Müller 2001a).

(10) ORDER PRESERVATION (ORDPRES):

An independently moved constituent 7 α must not precede a non-adverbial constituent β if α (or parts of α) followed β at the point in the derivation where case assignment took place. 8

_

⁴ Recall that OS may also apply to full DPs in Icelandic but not in Mainland Scandinavian; cf. footnote 1. In Appendix 1 below and in Vikner & Engels (2006), we assume that full DP Shift is motivated by a more general version of SHIFTPRON, called SHIFT, which requires movement of all [-focus] constituents. Differences in the relative ranking between SHIFT and STAY account for the cross-linguistic contrasts as to the availability of full DP shift.

⁵ On the "min = max" condition, see Appendix 1.

The ranking SHIFTPRON >> STAY predicts that OS is obligatory. In Swedish and Norwegian, where pronominal OS is optional (cf. (2) above), STAY and SHIFTPRON might be tied, STAY <> SHIFTPRON: Both relative rankings of the two constraints, STAY >> SHIFTPRON and SHIFTPRON >> STAY, co-exist in these languages; depending on the actual ranking, movement is required or prohibited, accounting for its optionality. (In terms of Müller's (2001b) classification of constraint ties, we are here dealing with an ordered global tie.)

⁷ It is essential that because of the wording "independently moved constituent", topicalisation of a complete VP and topicalisation of a remnant VP give rise to the same number of ORDPRES violations, namely one for every constituent the VP moves across. In other words, the constituents inside the topicalised VP are not seen as "independently moved constituents".

⁸ This means that two kinds of movement cannot possibly violate order preservation: **DP-movement into a case-marked position** (e.g. passivisation, subject raising, and movement across a verb particle as in section 3.2 below) and **head movement necessary for case assignment** (e.g. particle incorporation as in section 3.2 below).

The ranking ORDPRES >> SHIFTPRON >> STAY predicts that OS is only possible if it maintains the base order of certain constituents. Hence, for OS to be possible, all verbs in the clause verb must move to a position to the left of the target position of OS (such that the relative order between verbs and object is preserved)). The verbs of the clause do not necessarily have to undergo V°-to-I°-to-C° movement; ORDPRES is also satisfied if a non-finite verb moves into topic position as in (4). (The restriction to non-adverbial constituents is necessary to permit OS across clause-medial adverbials.)

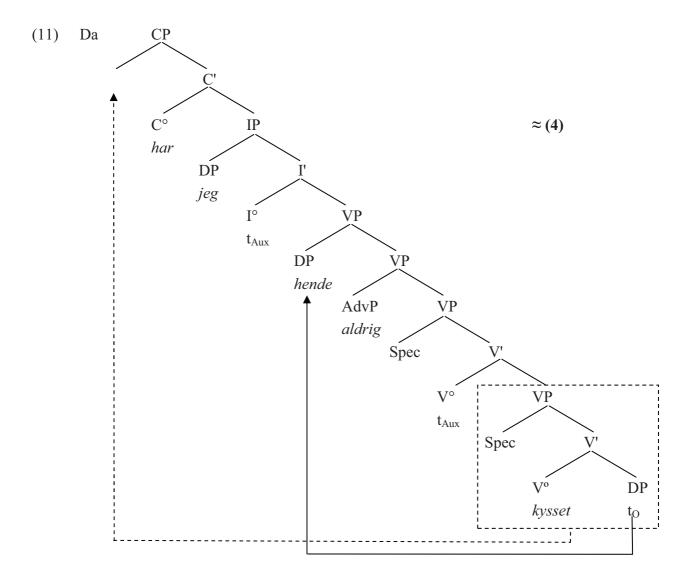


Tableau 1: OS & Holmberg's generalisation

Da				ORD PRES	SHIFT PRON	STAY	ex.
V in situ	P	1a	S Aux Adv <u>V</u> <u>Pron-O</u>		*		(3)a
V IN SIIU		1b	S Aux <u>Pron-O</u> Adv <u>V</u> t _O	*!		*	(3)b
V in C°		2a	S <u>V</u> Adv t _V <u>Pron-O</u>		*!		(1)a
VIIIC	F	2b	$S \underline{V} \underline{Pron-O} Adv t_V t_O$			*	(1)b
VD in SpacCD		3a	$[_{\text{VP}} \underline{\text{V}} \text{ t}_{\text{O}}] \text{ Aux S Adv } \underline{\text{Pron-O}} \text{ t}_{\text{VP}}$		*!		(5)b
VP in SpecCP	P	3b	$[_{\text{VP}} \underline{\text{V}} t_{\text{O}}] \text{ Aux S } \underline{\text{Pron-O}} \text{ Adv } t_{\text{VP}}$			*	(4)/(11)

(In this and following tableaux, only STAY- and ORDPRES-violations induced by OS violations are

listed; STAY- and ORDPRES-violations induced by e.g. VP-topicalisation or V°-to-I°-to-C° movement are left out because they do not vary between competing candidates.)

The present approach assumes that occurrence of a non-finite main verb in topic position involves OS of the pronominal object prior to remnant VP-topicalisation; compare (11) above. The OT constraint ORDPRES is representational: Constraint violations are computed based on the final structure of the candidates. Hence, although the individual steps of OS might violate ORDPRES, this is of no consequence as long as the verb is subsequently placed in front of the shifted object such that their precedence relation is re-established.⁹

2.2 Fox & Pesetsky (2003, 2005a,b): Order preservation and cyclic linearization

Fox & Pesetsky (2003, 2005a,b) also discuss OS and remnant VP-topicalisation. They suggest that the order preservation effects arise in the following way: The mapping between syntax and phonology, i.e. Spell-out, takes place at various points in the course of derivation (including at VP and at CP), whereby the material in the Spell-out domain D is linearized; see also Chomsky (2000, 2001). The crucial property of Spell-out is that it may only add information about the linearization of a newly constructed Spell-out domain D' to the information cumulatively produced by previous applications of Spell-out. Established information cannot be deleted in the course of derivation, accounting for order preservation effects.

To Fox & Pesetsky, the fact that OS observes HG is a consequence of their "linearisation theory". At the Spell-out domain VP, the ordering statement "V<O" is established, (12)b. At CP, Spell-out adds information about the linearisation of the material outside VP, (12)c. This information is consistent with the previously established information: The finite main verb moves to C° in the main clause and the pronominal object undergoes OS, maintaining their relative order V<O.

hende ikke

Adv<VP

(12)

Da a.

Jeg

kyssede

Note that the adverbial is merged outside the VP Spell-out domain; its position relative to the object

⁹ Notice that OS, which is always motivated by the constraint SHIFTPRON, cannot force remnant VP-topicalisation because VP-topicalisation violates the higher ranking contraint ORDPRES (the topicalised VP moves across the finite auxiliary and the subject).

(and the main verb) is thus not fixed until Spell-out of CP, predicting OS across the adverb (i.e. the sequence O<Adv) to be possible.

OS across a verb *in situ* as in (3)b, repeated as (13)a, gives rise to contradictory ordering statements. The ordering statements produced at Spell-out of CP, (13)c, are in opposition to the statement "V<O" established at Spell-out of VP, (13)b.

(13) Da a. *Jeg har <u>hende</u> ikke kysset ____. *I have her not kissed*b. Spell-out VP: [VP V O]

V<O

Ordering:

c. Spell-out CP: $[CP S Aux [P t_S O Adv V VP t_{Aux} VP V t_O]]]]$ Ordering: S < Aux Aux < O O < Adv $Adv < VP \rightarrow Adv < V$

Hence, Fox & Pesetsky (2005a) derive HG from ordering contradictions. OS cannot take place if it results in ordering statements at the Spell-out of CP that contradict those established at the Spell-out of VP. Correspondingly, the asymmetry between stranding of an IO and stranding of a DO by remnant VP-topicalisation illustrated in (7) above is expected by order preservation. Stranding of an IO, but not stranding of a DO gives rise to contradictory ordering statements at the various Spell-out domains: At VP, "IO<DO" is established, which is consistent with the Spell-out of CP in (7)a but not in (7)b.

Note that Fox & Pesetsky (2005a) predict that movement operations that do not obey HG have to proceed in a successive cyclic fashion: The underlined constituents in (14) have to move via the edge of VP prior to linearisation of the VP domain to prevent ordering contradictions at the Spell-out of CP. These movement operations comprise various instances of A-movement and A-bar-movement operations, such as Scandinavian Negative Shift (see Christensen (2005), Engels (submitted)), topicalisation, and subject movement.

(14)	Da a.	Måske	har	han	ingen bøger	solgt	·
		probably	has	he	no books	sold	
	b.	<u>Bøgerne</u>	har	jeg		solgt	•
		books-the	have	I		sold	
	c.	Måske	blev	<u>bøger</u>	<u>ne</u>	solgt	
		perhaps	were	books	-the	sold	

(15) Da a.
$$\underline{\underline{B}}$$
 Bøgerne har jeg solgt _____. = (14)b books-the have I sold

b. Spell-out VP:
$$[VP \ \underline{O} \ [VP \ V \ t_O]]$$

c. Spell-out CP:
$$[_{CP} \ \underline{O} \ Aux \ [_{IP} \ S \ t_{Aux} \ [_{VP} \ t_O \ V \ t_O]]]$$

$$S < VP \rightarrow S < V$$

Hence, to Fox & Pesetsky the crucial difference between the various movement operations in (14) and OS is that the former may - and indeed must – go via the edge of VP, but as Fox & Pesetsky (2003) state, in their analysis OS cannot involve movement to the edge of VP, i.e. to them, OS is an exception to the rule. "Our proposals say nothing in themselves, however, about the circumstances under which movement to these left-edge positions is allowed or prohibited" (Fox & Pesetsky 2005a: 39).

Under the OT approach adopted here, this difference between the various movement operations in (14) and OS, i.e. that only OS must preserve the base order, follows from the standard OT-mechanism of constraint ranking: The constraint that motivates OS, SHIFTPRON, is outranked by ORDPRES (see Tableau 1 above), whereas the constraints that motivate the other movements, e.g. TOPIC, outrank ORDPRES. See Tableau 2 below as well as (16) repeated from (14)b above.

(17) TOPIC: Elements with a [+topic] feature occur in Spec,CP.

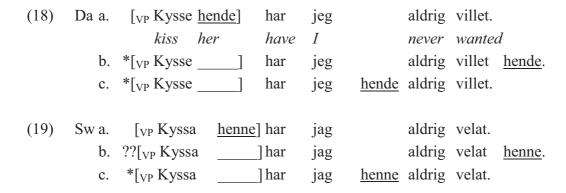
Tableau 2: Object topicalisation

Da			TOPIC	ORDPRES	SHIFTPRON	STAY	1
	a	S Aux t_S V $O_{[+top]}$	*!			*	ı
P	b	$\underline{O}_{[+top]}$ Aux S V t_O		***		*	

ex. -(16)

Note also that Fox & Pesetsky (2003, 2005a,b) make an incorrect prediction concerning remnant VP-topicalisation in constructions with an auxiliary *in situ* (see also section 3.4 below). They assume that auxiliary verbs are merged outside vP (and therefore also after Spell-out of VP). As a consequence, the ordering of object and auxiliary verb is not fixed until Spell-out of CP, which incorrectly predicts that the sequence O<Aux is possible (because it is consistent with the ordering statements previously established, none of which mention the auxiliary at all). Fox & Pesetsky (2005b: 252) even go so far as to draw a tree diagramme of the problematic structure, but then they claim, following Holmberg (2005: 151) their prediction cannot be checked because VP-topicalisation is impossible across an auxiliary *in situ* anyway, regardless of whether or not OS out of the VP has taken place first. However, as shown in (18), this is incorrect: VP-topicalisation is actually possible across

an auxiliary *in situ*, but remnant VP-topicalisation is not; the object can neither precede nor follow the auxiliary *in situ*.



In the present OT approach, the ungrammaticality of (18)c follows from all (non-adverbial) elements being relevant for ORDPRES, which includes any auxiliaries *in situ*. The ill-formedness of (18)b and (19)b shows that since ORDPRES makes it impossible to comply with SHIFTPRON, there is no reason for the object to leave the VP.

Tableau 3: Remnant VP-topicalisation across an auxiliary in situ

Da			ORD PRES	SHIFT PRON	STAY	ex
F	a	$[_{\text{VP}} \text{ V} \underline{\text{Pron-O}}] \text{ Aux}_{[\text{fin}]} \text{ S}$ Adv $\text{Aux}_{[\text{non-fin}]}$ t_{VP}		*		(18
	b	$ [_{VP} \ V \ t_O \qquad] \ Aux_{[fin]} \ S \qquad \qquad Adv \ Aux_{[non-fin]} \ \underline{Pron-O} \ t_{VP} $		*	*!	(18
	c	$[_{VP} \ V \ t_O \qquad] \ Aux_{[fin]} \ S \ \underline{Pron\text{-}O} \ Adv \ Aux_{[non\text{-}fin]} \qquad \qquad t_{VP}$	*!		*	(18

Notice further that although these examples, (18) and (19), have a non-finite auxiliary *in situ* (as do the ungrammatical examples in Holmberg (2005: 151) that Fox & Pesetsky (2005b: 252) refer to), this is not the only possible case of auxiliaries *in situ*. In embedded clauses, finite auxiliaries remain *in situ* in Mainland Scandinavian, and also here topicalisation of the entire VP (but not of a remnant VP) is possible, and also here Fox & Pesetsky (2005b: 252) make the wrong prediction, as discussed in section 3.4 below.

3 Asymmetries in Object Shift and remnant VP-topicalisation

3.1 Stranding of a DO vs. stranding of an IO

As mentioned in section 1 above, there is an asymmetry between stranding of an IO and stranding of a DO during remnant VP-topicalisation; cf. (7) repeated in (20).

(20) Sw a.
$$?[_{VP}$$
 Gett $\underline{\text{henne}}$ har $\underline{\text{jag den}}$ inte. $\underline{\text{given}}$ her have I it not b. $*[_{VP}$ Gett $\underline{\text{den}}$ har $\underline{\text{jag henne}}$ inte. (Fox & Pesetsky 2005a: 25)

Note that also both objects of a double object construction may be taken along, (21)a, or both of them may be stranded by remnant VP-topicalisation, (21)b.

(21) Da a.
$$[VP]$$
 Givet $\underline{\text{hende den}}$ har jeg ikke. $\underline{\text{given}}$ $\underline{\text{her}}$ it $\underline{\text{have }}I$ $\underline{\text{not}}$ b. $?[VP]$ Givet $\underline{\text{mod given}}$ har jeg $\underline{\text{hende den}}$ ikke.

Because of these alternatives, it is necessary to assume that it is specified in the input which constituents are to be placed in topic position (= bold in the tableaux below). Stranding of an element that should appear in topic position then violates TOPIC whereas taking along too much material does not violate this constraint, see Tableau 4 and Tableau 5.

Tableau 4: Remnant VP-topicalisation that strands both IO and DO

Da/Sw	Topic: V	Торіс		SHIFT PRON	STAY
a	[VP V Pron-IO Pron-DO] Aux S Adv tVP			*!*	
b	$[_{\mathrm{VP}}\mathbf{V}\underline{\text{Pron-IO}}t_{\mathrm{DO}}]\mathrm{Aux}\;\mathrm{S}\;\underline{\text{Pron-DO}}\mathrm{Adv}\;t_{\mathrm{VP}}$			*!	*
С	$[_{\mathrm{VP}}\mathbf{V}t_{\mathrm{IO}}\underline{Pron\text{-}DO}]\mathrm{Aux}\;\mathrm{S}\;\underline{Pron\text{-}IO}\mathrm{Adv}t_{\mathrm{VP}}$		*!	*	*
☞ d	$[_{\mathrm{VP}}\mathbf{V}t_{\mathrm{IO}}t_{\mathrm{DO}}]\mathrm{Aux}\mathbf{S}\underline{Pron\text{-}IO}\underline{Pron\text{-}DO}\mathrm{Adv}t_{\mathrm{VP}}$				**

ex.
(21)a
(20)a
(20)b
(21)b

Tableau 5: VP-topicalisation that takes along both IO and DO

Da/Sw	Topic: V & Pron-IO & Pron-DO	Торіс	Ord	SHIFT	STAY
Da/SW	Topic. V & Hon-10 & Hon-100	TOTIC	PRES	Pron	SIAI
☞ a	[VP V Pron-IO Pron-DO] Aux S Adv tvP			**	
b	$[_{VP} \ \textbf{V} \ \underline{\textbf{Pron-IO}} \ t_{DO}] \ Aux \ S \ \underline{\textbf{Pron-DO}} \ Adv \ t_{VP}$	*!		*	*
c	$[_{VP} \mathbf{V} t_{IO} \mathbf{\underline{Pron-DO}}] Aux S \mathbf{\underline{Pron-IO}} Adv t_{VP}$	*!	*	*	*
d	$[_{\mathrm{VP}}\mathbf{V}t_{\mathrm{IO}}t_{\mathrm{DO}}]\mathrm{Aux}\;\mathrm{S}\;\underline{\textbf{Pron-IO}}\;\underline{\textbf{Pron-DO}}\;\mathrm{Adv}t_{\mathrm{VP}}$	*!*			**

ex.
(21)a
(20)a
(20)b
(21)b

Recall that ORDPRES, (10), refers to *independently moved constituents*. As a consequence, the number of ORDPRES-violations (namely, one for each crossed constituent) induced by VP-topicalisation is

independent of how many constituents are included in the topicalised VP.¹⁰

As Tableau 4 and Tableau 5 show, SHIFTPRON favours stranding of a pronoun, but this is only possible if the pronoun is not marked [+topic], due to the higher ranking constraint TOPIC. The asymmetry between stranding of a DO and stranding of an IO is expected by the ranking ORDPRES >> SHIFTPRON. Remnant VP-topicalisation with OS of a DO maintains the VP-internal ordering relations, satisfying ORDPRES (see Tableau 6). Note that it is crucial for the remnant VP-topicalisation constructions that ORDPRES refers to precedence rather than c-command relations: While the precedence relations are maintained in (20)a, the c-command relations are not: Neither the verb nor the IO c-commands the shifted DO.

In contrast, remnant VP-topicalisation with OS of an IO does not re-establish the base order relations. The violation of ORDPRES therefore rules out stranding of the IO in OS position, see Tableau 7 below. Instead, the IO has to be taken along by VP-topicalisation, giving rise to neutralization: Despite the different input specifications with regard to topichood, the same candidate (namely, candidate a) arises as output in Tableau 5 and Tableau 7. (But stranding of the IO is possible if it does not result in a violation of ORDPRES, namely if both objects are stranded as in (21)b, Tableau 5.)

Tableau 6: Remnant VP-topicalisation that strands DO

Da/	'Sw	Topic: V & Pron-IO	Торіс	ORD PRES	SHIFT PRON	STAY
	a	[_{VP} V <u>Pron-IO</u> <u>Pron-DO</u>] Aux S Adv t _{VP}			**!	
(F	b	[_{VP} V <u>Pron-IO</u> t _{DO}] Aux S <u>Pron-DO</u> Adv t _{VP}			*	*
	c	$[_{VP}\ V\ t_{IO}\ \underline{Pron\text{-}DO}]\ Aux\ S\ \underline{Pron\text{-}IO}\ Adv\ t_{VP}$	*!	*	*	*
	d	$[v_P V t_{IO} t_{DO}]$ Aux S Pron-IO Pron-DO Adv t_{VP}	*!			**

Tableau 7: No remnant VP-topicalisation that strands IO

		- , v i to i cimion v i copromisorion intro seronius				
Da/	Sw	Topic: V & Pron-DO	Торіс	ORD PRES	SHIFT PRON	STAY
P	a	[_{VP} V <u>Pron-IO</u> <u>Pron-DO</u>] Aux S Adv t _{VP}			**	
	b	$[_{VP} \text{ V } \underline{\text{Pron-IO}} t_{DO}] \text{ Aux S } \underline{\text{Pron-DO}} \text{ Adv } t_{VP}$	*!		*	*
	c	$[_{VP}\ V\ t_{IO}\ \underline{Pron\text{-}DO}]\ Aux\ S\ \underline{Pron\text{-}IO}\ Adv\ t_{VP}$		*!	*	*
	d	$[_{VP}~\mathbf{V}~t_{IO}~t_{DO}]~\mathrm{Aux}~\mathrm{S}~\underline{Pron\text{-}IO}~\underline{Pron\text{-}DO}~\mathrm{Adv}~t_{VP}$	*!			**

ex.
(21)a
(20)a
(20)b
(21)b

ex.

(21)a (20)a (20)b (21)b

More generally, the ranking ORDPRES >> SHIFTPRON predicts that stranding of an object during remnant VP-topicalisation is only acceptable if the object is right-peripheral within VP. As shown in (22) and (23), topicalisation of the entire VP but not remnant topicalisation is possible in constructions in which the object is followed by other elements within VP, e.g. in constructions with a infinitival clause, (22), or with a verb and an additional PP-complement, (23) (see Appendix 3 for an analysis).

¹⁰ Furthermore, we are here assuming that OS of two objects take place as two independent movements, (21)b and candidate d in Tableau 4-Tableau 7. In (75) and Tableau 21 in Appendix I below, we show how this is due to SHIFTPRON. This might seem to open the possibility of multiple OS in which the DO precedes the IO, but such a candidate will never be optimal, as it will have exactly the same constraint violations as candidate d in Tableau 4-Tableau 7 **plus** an additional violation of ORDPRES.

As mentioned above, Holmberg's generalisation only prohibits OS across constituents to the left. This section has shown that the condition on order preservation is more general: OS is only grammatical if the VP-internal ordering relations are retained. Only right-peripheral objects may be stranded during remnant VP-topicalisation. In the present OT-analysis, this follows from the constraint ORDPRES and its dominance over SHIFTPRON.

3.2 Particle verbs and *let*-constructions in Danish vs. Swedish

The order preservation approach to OS and remnant VP-topicalisation advocated here is also supported by the contrast between Danish and Swedish particle verbs and *let*-constructions.

Vikner (1987: 263) and Haegeman & Guéron (1999:257-258), among others, suggest that particle constructions have a parallel structure to prepositional constructions, i.e. that the particle (Prt°) is the head of a particle phrase (PrtP), and that Prt° may be followed by a complement DP. Haegeman & Guéron (1999:257-258) further suggest that particles do not assign case to their complement DPs, and that in English, there are two ways out of this predicament: DP-movement and particle incorporation. Vikner (2009: 5-6) implements these two as follows: One option is that the DP moves to the specifier of the PrtP where it can be assigned case by the verb (much like ECM, exceptional case marking), see candidate (c) in Tableau 8 and Tableau 9. The other option is that the particle incorporates into the verb, which allows the case assignment properties of the verb to be shared with the particle, so that the DP may now be assigned case by the trace of the particle, see candiate (b) in Tableau 8 and Tableau 9. Both of these two options are found in English and Norwegian (with full DPs, at least), whereas Danish only allows DP-movement, (24), and Swedish only allows particle incorporation, (25):

We would like to suggest that the difference between the Obj<Prt sequence in Danish and the Prt<Obj one in Swedish can be accounted for by means of three constraints: Case, which penalises DPs that are not assigned case, No Incorporation, which penalises particle incorporation as a means of achieving case assignment, and No Exceptional Case Marking, which penalises the DP moving to Spec,PrtP in order to be assigned case from the verb.

(26) CASE:

DPs must be Case-marked.

(Grimshaw 1997: 374)

(27) No Incorporation (No Inc):

A head must not incorporate into a lexical verb.

(28) NO EXCEPTIONAL CASE MARKING (NO ECM):

A head must not assign Case to the specifier of its complement.

In Danish, NO INCORPORATION is ranked higher than NO EXCEPTIONAL CASE MARKING, whereas in Swedish, it is the opposite, NO ECM overrides NO INC, as seen in the tableaux for (24) and (25):

Tableau 8: Particle verb construction in Danish

Da			CASE	No Inc	No ECM		SHIFT PRON	STAY	Ex.
a S	Aux V	Prt <u>DP</u>	*!			?			(24)a
b S	Aux V-Prt	t _{Prt} <u>DP</u>		*!				*	(24)a
C S	Aux V <u>DP</u>	Prt t _O			*			*	(24)b

Tableau 9: Particle verb construction in Swedish

Sw				CASE	No ECM			STAY	ex.
	a	S Aux V	Prt <u>DP</u>	*!			?		(25)a
P	b	S Aux V-Prt	t _{Prt} <u>DP</u>			*		*	(25)a
	c	S Aux V	DP Prt to		*!			*	(25)b

There are no violations of SHIFTPRON in Tableau 8 and Tableau 9, as the complement DP is not a pronoun. Presumably there are no violations of ORDPRES either, as the two movements, particle incorporation (candidate b) and DP-movement (candidate c), both take place before case assignment and thus do not affect ORDPRES. As for the question whether there are any violations of ORDPRES in candidate a, this is clearly not crucial, given that the candidate violates the highest ranking constraint, CASE. In fact, we would like to suggest that CASE is part of the generator, i.e. that the evaluation procedure never even gets to evaluate candidates like candidate a, as the generator does not generate any candidates that violate CASE. Therefore the tableaux below will only consider versions of what corresponds to candidates b and c in Tableau 8 and Tableau 9.

Consider now cases similar to (24) and (25) above, but where the DP in question is a pronoun which is [-focus], i.e. cases where SHIFTPRON is potentially violated: The particle follows the pronoun in Danish, (29), as Danish only allows DP-movement, but the particle precedes the pronoun within VP in Swedish, (30), as Swedish only allows particle incorporation. Since the main verb stays *in situ*, OS is expected to be ungrammatical; cf. the (c)-examples in (29) and (30).

As Tableau 10 and Tableau 11 show, the difference between the Obj<Prt sequence in Danish and the Prt<Obj one in Swedish can be seen here without interference from OS: The order is determined by the ranking of No INC and No ECM. The c and d candidates with OS are suboptimal because they violate ORDPRES, given that the verb is *in situ*. ¹²

Tableau 10: OS with particle verb in situ in Danish

			1							- 10	
Da					No Inc	No ECM	ORD PRES	SHIFT PRON	STAY		ex
	a	S Aux	Adv V-Prt	t _{Prt} <u>Pron-O</u> °	*!			*	*	ſ	(29)
P	b	S Aux	Adv V Pron	-O Prt t _O		*		*	*	ſ	(29)
	c	S Aux Pron-C	Adv V-Prt	$t_{\mathrm{Prt}} \ t_{\mathrm{O}}$	*!		**		**		(29)
	d	S Aux Pron-C	Adv V t' _O	Prt t _O		*	*!		**		(29)

Tableau 11: OS with particle verb in situ in Swedish

Sw					No ECM	No Inc	ORD PRES	SHIFT PRON	STAY	ex.
P	a	S Aux	Adv V-Prt	t _{Prt} Pron-O		*		*	*	(30)a
	b	S Aux	Adv V Pron-	O Prt t _O	*!			*	*	(30)b
	c	S Aux Pron-C	Adv V-Prt	$t_{Prt} t_{O}$		*	*!*		**	(30)c
	d	S Aux <u>Pron-C</u>	Adv V t' _O	Prt t _O	*!		*		**	(30)c

Consider now the interaction between OS and particle verbs. If the particle verb is itself finite and thus

¹² Whether the verb *in situ* and the incorporated particle, which are both crossed in candidate c, count as two distinct constituents or just one is not crucial, not even in Tableau 11, since one violation of ORDPRES is sufficient to rule out the candidate.

moves to C° because of V2, the object of a particle verb has to undergo OS in Danish, (31), but it cannot do so in Swedish, (32):

As shown in Tableau 12 and Tableau 13, ORDPRES plays no part in the choice between the two non-incorporating candidates, b and d, as neither candidate violates it (the verb has moved, the particle was crossed before case assignment). The crucial constraint in the Danish Tableau 12 then becomes SHIFTPRON, which favours the candidate with OS. ORDPRES does play a part, however, in the choice between the two incorporating candidates, a and c (see the Swedish Tableau 13), as it is fatally violated by c, where the particle is crossed after case assignment.

Tableau 12: OS with moved particle verb in Danish

Da		-		No Inc	No ECM	ORD PRES	SHIFT PRON	STAY	ex.
	a	S V Adv t _V -Prt	t _{Prt} <u>Pron-O</u>	*!			*	*	(31)a
	b	S V Adv t _V <u>Pron-</u>	O Prt t _O		*		*!	*	(31)b
	c	S V <u>Pron-O</u> Adv t _V -Prt	$t_{\mathrm{Prt}} \ t_{\mathrm{O}}$	*!		*		**	(31)c
P	d	S V Pron-O Adv t _V t' _O	Prt t _O		*			**	(31)c

Tableau 13: OS with moved particle verb in Swedish

Sw					No ECM	No Inc	ORD PRES	SHIFT PRON	STAY
P	a	S V	Adv t _V -Prt	t _{Prt} <u>Pron-O</u>		*		*	*
	b	S V	Adv t _V Pron-	O Prt t _O	*!			*	*
	c	S V <u>Pron-O</u>	Adv t _V -Prt	$t_{\mathrm{Prt}} \ t_{\mathrm{O}}$		*	*!		**
	d	S V Pron-O	Adv t _V t' _O	Prt t _O	*!				**

ex.
(32)a
(32)b
(32)c
(32)c

We are now in a position to consider the interaction between particle verbs and remnant VP-topicalisations. In Danish, the only option is to topicalise the entire VP, (33). In Swedish, on the other

¹⁴ Candidate a in Tableau 15 (which also corresponds to a grammatical sentence, (34)a), presumably wins a competition different from Tableau 15, namely the one where the object is also marked for topichood. In the competitions discussed in this subsection, Tableau 10-Tableau 15, the object is not marked for topichood, although the verb and the particle are.

hand, remnant VP-topicalisation is possible, (34).

Tableau 14 and Tableau 15 in a certain sense present a mirror image of Tableau 12 and Tableau 13 above: Because the particle here is part of the remnant VP that moves to Spec,CP, ORDPRES does not play a part in the choice between the two incorporating candidates, a and c, as the order remains V<Prt<Obj. The crucial constraint in the Swedish Tableau 15 then becomes SHIFTPRON, which favours the candidate with OS. ¹⁴ ORDPRES does play a part, however, in the choice between the two non-incorporating candidates, b and d (see the Danish Tableau 14), as it is fatally violated by d, where the order is Prt<Obj at the surface, but it was Obj<Prt at case assignment.

Tableau 14: OS with remnant VP-topicalisation of particle verb in Danish

Da		Topic: V & Prt	No INC	No ECM		SHIFT PRON	STAY	ex.
	a	$[_{VP}$ V-Prt t_{Prt} $\underline{Pr-O}]$ Aux S Adv t_{VP}	*!			*	*	(33)a
P	b	$[_{VP} \mathbf{V} \underline{Pr\text{-}O} \mathbf{Prt} t_O] Aux S \qquad Adv t_{VP}$		*		*	*	(33)b
	c	$\begin{bmatrix} v_P \mathbf{V-Prt} & t_{Prt} & t_O \end{bmatrix} \mathbf{Aux} \mathbf{S} \underline{\mathbf{Pr-O}} \mathbf{Adv} t_{VP}$	*!				**	(33)c
	d	$[_{VP} \textbf{V} \textbf{t'}_{O} \textbf{Prt} \textbf{t}_{O}] \text{Aux S} \underline{\text{Pr-O}} \text{Adv} \textbf{t}_{VP}$		*	*!		**	(33)c

Tableau 15: OS with remnant VP-topicalisation of particle verb in Swedish

Sw		Topic: V & Prt	No ECM		ORD PRES		STAY	ex.
	a	$[_{VP}$ V-Prt t_{Prt} $\underline{Pr-O}]$ Aux S Adv t_{VP}		*		*!	*	(34)a
	b	$[_{VP} \mathbf{V} \underline{Pr\text{-}O} \mathbf{Prt} t_O] \mathbf{Aux} \mathbf{S} \qquad \mathbf{Adv} t_{VP}$	*!			*	*	(34)b
F	c	$\begin{bmatrix} v_P \mathbf{V-Prt} & t_{Prt} & t_O \end{bmatrix} \mathbf{Aux} \mathbf{S} \underline{\mathbf{Pr-O}} \mathbf{Adv} t_{VP}$		*			**	(34)c
	d	$[_{\mathrm{VP}}\mathbf{V}t'_{\mathrm{O}}\mathbf{Prt}t_{\mathrm{O}}]\mathrm{Aux}\;\mathrm{S}\underline{\mathrm{Pr-O}}\mathrm{Adv}t_{\mathrm{VP}}$	*!		*		**	(34)c

The situation in *let*-constructions is parallel to the one in particle verb constructions. In Danish, the object of a subjectless infinitive under the causative verb *let* precedes the infinitival verb, (35), whereas it follows the infinitive in Swedish, (36); see Vikner (1987: 262-266) and many others.

In the present analysis, this contrast again follows from differences in the relative ranking of NoINC and NoECM. In Danish, the object undergoes movement to SpecVP to be assigned case (NoINC >> NoECM) while the infinitival verb incorporates into *let* in Swedish to make case assignment possible (NoECM >> NoINC). This is thus completely parallel to Tableau 8 and Tableau 9.

If *let* now undergoes finite verb movement, OS becomes possible in Danish, (37), but not in Swedish, where the object would have to move across the infinitival verb, violating ORDPRES, (38). This is thus exactly the same situation as in Tableau 12 and Tableau 13.

In contrast, if the infinitive undergoes VP-topicalisation together with non-finite *let*, stranding of the object is impossible in Danish as it is non-peripheral within VP, (39), whereas it is acceptable in Swedish, where the relative ordering between VP-internal constituents is maintained, (40). This situation is thus the same as the one analysed in Tableau 14 and Tableau 15:

	a.	* [_V]				støvsu	U	det]	har	jeg		ning hall, but) ikke.
			let				n-clean		have	I		not
	b.	[v	P lade	t <u>de</u>		støvsu		1	har	jeg		ikke.
	c.	* [_V]				støvsu	_]	har	jeg	det	ikke.
(40)	Sw	Jag ha	ır nog	märk	t att c	let ligg	ger en de	el smul	or på m	attan i	matsalo	en, men
		(I did	notic	e that	there	are a	lot of cr	umbs e	on the co	arpet i	in the di	ning hall, but)
	a.	[v	/P låti	t		damm	suga	den]	har	jag		inte.
		le	et		vaci	uum-cl	lean it	ha	ve I		n	ot
	b.	* [\	_{/P} låti	t <u>de</u>	<u>n</u>	damm	suga]	har	jag		inte.
	c.	? [v	_{/P} låtit	t		damm	suga]	har	jag	<u>den</u>	inte.
											(.	Anders Holmberg, p.c.)
	ermore, Danish a							ert subj	ect, the	objec	t follow	rs the infinitival verb in
(41)	Da a.	Jeg	har	lac	let	Poul		støvs	uge	tæpp	<u>et</u> .	
		I	have	e let		Poul		vacui	ım-cleai	ncarpe	et-the	
	b.	*Jeg	har	lac	let	Poul	tæppet	støvs	uge			
(42)	Sw a.	Jag	har	låt	it	Paul		damn	nsuga	matta	an.	
		I	have	e let		Paul		vacui	ım-cleai	ncarpe	et-the	
	b.	*Jag	har	låt	it	Paul	mattan	damn	nsuga		·	
				_					•			rival subject is possible initival verb.
(43)	Da a.	*Jeg	lod			ikke	<u>ham</u>	støvsu	ige	det.		
		I	let			not	him	vacuu	m-clean	it		
	b.	Jeg	lod	<u>ham</u>		ikke		støvsu	ige	det.		
	c.	*Jeg	lod	<u>ham</u>	<u>det</u>	ikke		støvsu	ige			
assigned actually	d accusat , all that	ive by th	ne mati dish ra	rx verb nking N	<i>lät</i> . T NoECI	his migl M>> No	ht seem u DINC pred	nexpect licts is th	ed given to nat incorp	he high oration	n ranking is prefer	ably <i>Paul/honom</i> is in Swedish of No ECM, bu red to ECM, and so we)a and (44)a,b. This may
also exp incorpo	olain the p	possiblit	y of E0		he (i),	where j				x -s on	the embe)a and (44)a,b. This may edded verb prevents

(44)Sw a. Jag lät inte honom dammsuga den. let not him vacuum-clean it Jag lät honom inte dammsuga den. lät honom den inte *Jag dammsuga

Moreover, if the infinitive undergoes VP-topicalisation together with non-finite *let*, neither the infinitival subject nor the infinitival object may be stranded; cf. (45) and (46).

(45) Da Jeg har godt nok bemærket at at der ligger en del krummer på tæppet i spisesalen men... (I did notice that there are a lot of crumbs on the carpet in the dining hall, but ...)

a.	$\dots [_{\mathrm{VP}}$ ladet	<u>ham</u>	støvsuge	<u>det</u>]	har	jeg	ikke.
	let	him	vacuum-clean	it	have	I	not
b.	* [_{VP} ladet		støvsuge	det]	har	jeg <u>ham</u>	ikke.
c.	* [_{VP} ladet	<u>ham</u>	støvsuge]	har	jeg	det ikke.
d.	* [_{VP} ladet		støvsuge]	har	jeg <u>ham</u>	det ikke.

(46) Sw Jag har nog märkt att det ligger en del smulor på mattan i matsalen, men ... (I did notice that there are a lot of crumbs on the carpet in the dining hall, but ...)

```
honom dammsuga
   ... [<sub>VP</sub> låtit
                                             den]
                                                     har
                                                                                  inte.
                                                             jag
         let
                    him
                            vacuum-clean it
                                                             Ι
                                                     have
                                                                                  not
b. *... [<sub>VP</sub> låtit
                            dammsuga
                                                                                  inte.
                                             den]
                                                     har
                                                             jag honom
c. *... [VP låtit
                    honom dammsuga
                                                     har
                                                             jag
                                                                             den inte.
d. *... [VP låtit
                            dammsuga
                                                                             den inte.
                                                     har
                                                             jag <u>honom</u>
```

That OS of the infinitival subject is not possible under remnant VP-topicalisation is expected in the present analysis since the infinitival subject occurs in a non-peripheral position. The infinitival object cannot be stranded although it is right-peripheral within VP. Stranding of the infinitival object is ruled out by the fact that SHIFTPRON requires adjunction to the maximal extended VP of the case-assigning verb (see the definition in (8) above). As the object is assigned case by (only) the infinitival verb in the presence of an infinitival subject, SHIFTPRON does not require the object to adjoin as high as to the extended VP of *let*; instead, the object would have to adjoin to the infinitival VP to satisfy SHIFTPRON, which is ruled out by the higher ranking constraint ORDPRES. ¹⁷ (The infinitival subject, in contrast, is assigned case by *let* and may thus undergo OS into the matrix clause as long as order preservation is observed.)

Summing up, the contrasts between Danish and Swedish as to OS and remnant VP-topicalisation with particle verbs and *let*-constructions discussed in this subsection support the order preservation approach suggested here. Due to differences in case assignment captured by the relative ranking of NoINC and NoECM, an object precedes particle and subjectless infinitival verb in Danish but follows them in Swedish. As a consequence, the two languages display mirror images with regard to OS in

1′

¹⁷ Notice that the ungrammaticality of (45)c and (46)c shows that the constraint SHIFTPRON must require surface occurrence of a weak pronoun in OS position and cannot be satisfied by a trace. Otherwise, the examples in (45)c and (46)c with further movement from the position adjoined to the infinitival VP to the higher position adjoined to the extended *let*-VP would be expected to be optimal under the ranking ORDPRES >> SHIFTPRON >> STAY.

these constructions. The ranking ORDPRES >> SHIFTPRON predicts that OS is only possible if it retains the VP-internal ordering relations. Finite verb movement of the particle verb/*let* paves the way for OS in Danish but not in Swedish, where the object would still have to cross the particle/infinitival verb. In contrast, stranding of the object during remnant VP-topicalisation is possible in Swedish but not in Danish as the object is right-peripheral within VP in the former but not in the latter. Though right-peripheral, stranding of an object during remnant VP-topicalisation is ruled out in *let*-constructions with an infinitival subject due to the fact that *let* is not involved in assigning case to the object and SHIFTPRON thus does not require the object to move as high up.¹⁸

3.3 Stranding of a subject vs. stranding of an object

As shown in the preceding sections, the ranking ORDPRES >> SHIFTPRON predicts that remnant VP-topicalisation may strand an object in OS position as long as the VP-internal precedence relations are maintained. Consequently, only an object that is right-peripheral in VP may be left behind, giving rise to the asymmetry between stranding of an IO and stranding of a DO and the contrast between Danish and Swedish regarding remnant topicalisation of particle verbs and *let*-constructions.

In addition, there is an asymmetry between stranding of an object and stranding of a subject during remnant VP-topicalisation, indicating that a non-peripheral trace in the topicalised VP is not a problem as such. The base order of elements does not have to be maintained by remnant VP-topicalisation if the remnant occurs in subject position (as in passives), see (47)a/(48)a vs. (47)b/(48)b.

As stated in the definition in (10), ORDPRES is violated if ordering relations between non-adverbial constituents are changed after the point in derivation where case assignment takes place. Given that subject movement to SpecIP is driven by case (and therefore takes place before case assignment), it does not count for ORDPRES. As a consequence, remnant VP-topicalisation is expected to be able to strand a non-peripheral DP in subject position though it cannot strand a non-peripheral DP in OS

^{1.5}

¹⁸ Notice that in this discussion of particle verbs, we have completely disregarded the constraint tie between STAY SHIFTPRON in Swedish discussed in footnote 6. Because these two constraints are not decisive in Tableau 11, Tableau 13, and Tableau 15, such a tie would not make any difference for the data concerning particle verbs.

Tableau 16: Stranding of an Object vs. Stranding of a Subject

				~ ·- · · j · · · ·		
Da		Topic: V & Prt	Subject	ORDPRES	SHIFTPRON	STAY
F	1a	[_{VP} V <u>Pron-O</u> Prt] Aux S Adv t _{VP}			*	
	1b	[_{VP} V t _O Prt] Aux S <u>Pron-O</u> Adv t _{VP}		*!		*
	2a	[VP V Pron-S Prt] Aux e Adv tvP	*!		*	
F	2b	[VP V ts Prt] Aux Pron-S Adv tvP			*	*

Ex.
(33)a
(47)a
-
(47)b

Whether or not it is possible to strand a phrase that is non-peripheral within VP during remnant VP-topicalisation is thus a matter of which kind of movement this phrase has undergone, subject movement vs. OS, and whether this movement is subject to order preservation.

3.4 Remnant VP-topicalisation out of a main vs. an embedded clause

Finally, there is an asymmetry between remnant VP-topicalisation out of a main clause and remnant VP-topicalisation out of an embedded clause in the Mainland Scandinavian languages, i.e. Danish, Norwegian and Swedish.

While the finite verb undergoes V°-to-I°-to-C° movement in main clauses, it stays *in situ* in embedded clauses in Mainland Scandinavian, (49). As a consequence, OS is not possible in embedded clauses (ORDPRES >> SHIFTPRON); cf. (50).

(49)	Da a.	Jeg	spurgte	hvorfor	Peter			aldrig	<u>læste</u>	bogen.
		I	asked	why	Peter			never	read	book-the
	b.	*Jeg	spurgte	hvorfor	Peter	<u>læste</u>		aldrig		bogen.
(50)	Da a.	Jeg	spurgte	hvorfor	Peter			aldrig	læste	<u>den</u> .
		I	asked	why	Peter			never	read	it
	b.	*Jeg	spurgte	hvorfor	Peter		<u>den</u>	aldrig	læste	·

(i)	Da a.	Derfor	har	<u>Elsa</u>	ikke	ringet.
		therefore	has	Elsa	not	called
	b.	Derfor	er	<u>Elsa</u>	ikke	kommet
		therefore	is	Elsa	not	come
	c.	Derfor	blev	<u>Elsa</u>	ikke	fotograferet
		therefore	was	Elsa	not	photograhed

Vikner: Scandinavian OS, Remnant VP-Topicalisation, and OT, p. 22

¹⁹ That subject movement need not comply with ORDPRES is also seen from the fact that it does not presuppose verb movement; i.e. subject movement may cross an intervening verb (ib, ic):

As shown in (51), a full VP may be topicalised from both main clauses and embedded clauses.

Topicalisation of a remnant VP, by contrast, is only possible out of a main clause, (52)a, not out of an embedded clause in Danish: The stranded object may neither follow the finite auxiliary (in its base position), (52)b, nor may it precede it, (52)c.

This asymmetry shows that stranding must involve OS, because OS requires the (stranded) object to occur in a position to the left of the base position of a finite verb (SHIFTPRON), but it can only do so if this verb has itself left its base position (ORDPRES). In other words, stranding is only possible if motivated independently, in this case by SHIFTPRON, and does not violate higher ranking principles (ORDPRES). If the object had not been pronominal, none of the candidates would violate SHIFTPRON, and the only well-formed version would be predicted to be full VP-topicalisation. See appendix 1 for discussion of which DPs qualify as pronouns for SHIFTPRON, and see appendix 3 for discussion of different ways of motivating both OS and West Germanic Scrambling.

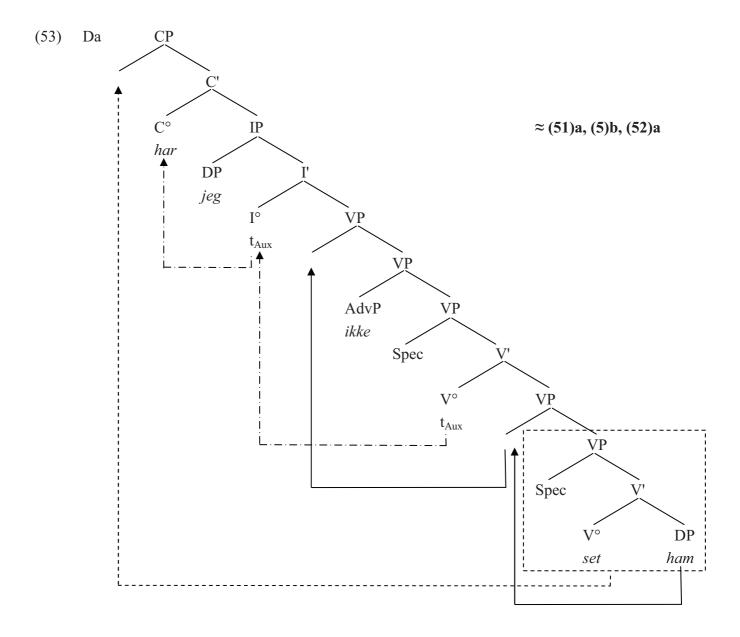


Tableau 17: Remnant VP-topicalisation out of a main clause

Da	Topic: V	ORDPRES	SHIFTPRON	STAY
a	[VP V Pron-O] Aux S Adv tvP		*!	
b	[_{VP} V t _O] Aux S Adv <u>Pron-O</u> t _{VP}		*!	*
° c	[VP V to] Aux S Pron-O Adv tvP			*

ex.
(51)a²⁰
(5)b
(52)a

²⁰ Candidate a (which also corresponds to a grammatical sentence, (51)a), presumably wins a different competition, namely the one where the object is also marked for topichood. In the two competitions discussed in this subsection, the object is not marked for topichood, only the verb is.

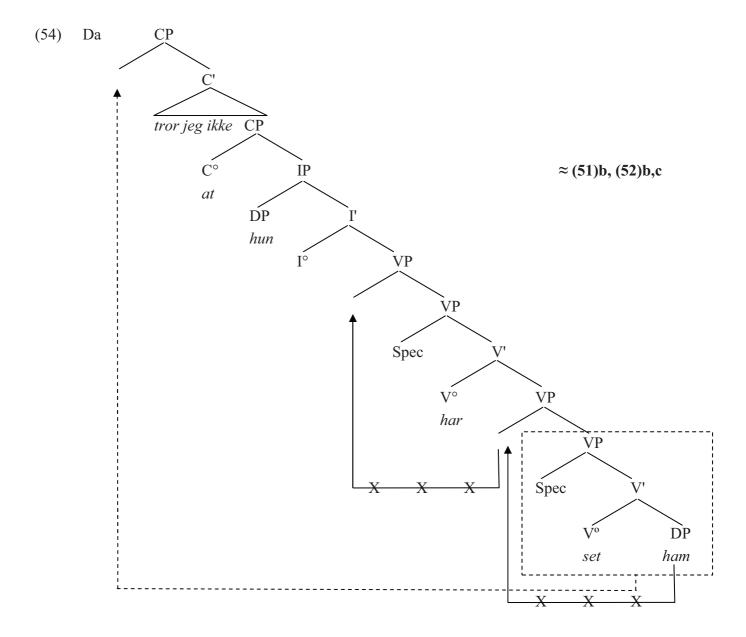


Tableau 18: No remnant VP-topicalisation out of an embedded clause

D	a	Topic: V	ORDPRES	SHIFTPRON	STAY	ex.
P	a	[VP V Pron-O] V S Adv Comp S Aux tVP		*		(51)b
	b	$[v_P \ V \ t_O] \ V \ S \ Adv \ Comp \ S \ Aux \ \underline{Pron-O} \ t_{VP}$		*	*!	(52)b
	c	$[v_P \ V \ t_O] \ V \ S \ Adv \ Comp \ S \ \underline{Pron-O} \ Aux \ t_{VP}$	*!		*	(52)c

VP-topicalisation out of an embedded clause with finite auxiliary *in situ*, (52), is completely parallel to the examples of VP-topicalisation out of a main clause with non-finite auxiliary *in situ* in (18) above, repeated here as (55). In both cases, the presence of an auxiliary *in situ* means that ORDPRES makes it impossible to comply with SHIFTPRON, and there is therefore no reason for the object to leave the VP at all (cf. also that Tableau 18 and Tableau 3 are completely parallel).

The hypothesis that OS has to take place, i.e. that (a) a stranded object has to undergo movement to some position to the left of the finite verb, and (b) this movement is only possible if the finite verb itself has left its base position, would seem to be supported by phenomena of remnant VP-topicalisation in Icelandic. Icelandic which has V°-to-I° movement and therefore also OS in embedded clauses (cf. (56) and footnote 2 above), permits a remnant object in VP-topicalisation out of an embedded clause (as opposed to the Danish (52)b,c which are completely ungrammatical).

Stranding of the object is expected to be possible under the present approach since SHIFTPRON can be satisfied without violating the higher ranking constraint ORDPRES due to movement of the finite auxiliary: OS is order-preserving; see Tableau 19 below.

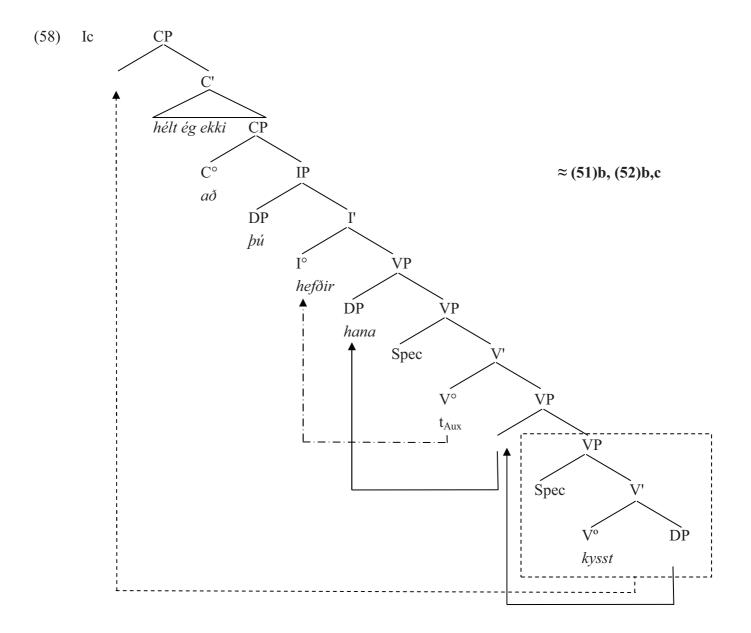


Tableau 19: Remnant VP-topicalisation out of an embedded clause in Icelandic

I	c	Topic: V	ORDPRES	SHIFTPRON	STAY	ex.
	a	[VP V Pron-O] V S Adv Comp S Aux t _{Aux} t _{VP}		*		-
	b	$[v_P \ V \ t_O] \ V \ S \ Adv \ Comp \ S \ Aux \ t_{Aux} \ \underline{Pron-O} \ t_{VP}$		*	*!	(57)
P	c	$[_{VP} \ V \ t_O] \ V \ S \ Adv \ Comp \ S \ Aux \ \underline{Pron-O} \ t_{Aux} \ t_{VP}$			*	(57)

As in Mainland Scandinavian, remnant VP-topicalisation is not possible in Icelandic in the presence of a non-finite auxiliary *in situ*, which prevents OS from complying with order preservation.

```
(59)
                                                       aldrei viljað ...
       Ic a.
                      Kyssa hana]
                                    hef
                                            ég
                      kiss
                                            Ι
                                                       never wanted
                             her
                                     have
                      Kyssa
                                 ] hef
                                            ég
                                                       aldrei viljað hana ...
           c. *[<sub>VP</sub>
                      Kyssa
                                    hef
                                            ég hana
                                                       aldrei viljað ...
                                                               ... bara haldið í höndina á henni.
                                                                 only held in hand-the on her
                                                                 (Gunnar Hrafn Hrafnbjargarson, p.c.)
```

Finally, note that remnant VP-topicalisation from embedded clauses is possible in passives, i.e. if the phrase left behind occurs in subject position. This follows from the fact that subject movement does not count for ORDPRES (see section 3.3 above).

Likewise, long-distance topicalisation of a VP that contains a trace of a *wh*-moved object is possible, (60). In contrast to subject movement, *wh*-movement takes place after case assignment. The fact that *wh*-movement is not subject to order preservation indicates that the constraint motivating *wh*-movement (WHSPEC) outranks ORDPRES, much like the constraint TOPIC in Tableau 2. Accordingly, stranding of a *wh*-object in SpecCP is predicted to be possible under the present approach – even if the object is non-peripheral within VP.

The Mainland Scandinavian asymmetry between remnant VP-topicalisation out of a main clause and remnant VP-topicalisation out of an embedded clause discussed in the present subsection shows that stranding of an object must be motivated independently. Only if object extraction out of VP is required by some constraint (e.g. ShiftPron) and complies with higher ranking principles (e.g. OrdPres) is stranding during VP-topicalisation possible.

4 Conclusion

Holmberg's well-known generalisation prohibits OS of an object across non-adverbial constituents to the left of the object. The present paper argued that the condition on order preservation is more general. As observed by Fox & Pesetsky (2003, 2005a), stranding of an object during remnant VP-topicalisation is only possible if it preserves the VP-internal ordering relations.

Based on an extended set of data concerning remnant VP-topicalisation, the present OT-approach considered the condition on order preservation to be a violable constraint, ORDPRES. The ranking of ORDPRES relative to the constraints that motivate the various types of movement accounts for the contrast as to whether or not a certain movement operation has to be order preserving. In particular, the ranking ORDPRES >> SHIFTPRON predicts that OS may only take place as long as it is order preserving (section 2.1).

In contrast, Fox & Pesetsky (2003, 2005a,b) suggest a minimalist analysis where Spell-out applies at various points in the derivation (in particular, at VP and at CP) and where the linearisation of the material of a newly constructed Spell-out domain must not contradict the cumulated linearisation information from previous applications of Spell-out. Fox & Pesetsky capture that OS differs radically from other types of (A- and A-bar-) movement that may result in a reversal of the order of elements, such as e.g. wh-movement or subject raising, by positing that most movements except OS have to proceed successive cyclically via the left edge of VP. In addition, Fox & Pesetsky's approach makes incorrect predictions as to remnant VP-topicalisation in constructions with an auxiliary verb in situ (section 2.2).

Section 3 discussed four asymmetries as to remnant VP-topicalisation.

The asymmetry between stranding of an IO and stranding of a DO examined in section 3.1 showed that only objects that originate in a right-peripheral position within VP may be left behind during remnant VP-topicalisation, as stranding preserves the VP-internal ordering relations.

The order preservation approach to OS was supported by the contrasts between Danish and Swedish particle verb and *let*-constructions investigated in section 3.2. Differences in the VP-internal object position (V<DP<X in Danish and V<X<DP in Swedish), which were taken to result from differences in case assignment, were argued to give rise to mirror images with regard to OS in clauses with finite verb movement of the matrix main verb (OS possible in Danish but not in Swedish) and remnant VP-topicalisation (OS possible in Swedish but not in Danish).

Moreover, the asymmetry between stranding of a subject and stranding of an object discussed in section 3.3 showed that a non-peripheral trace within a topicalised remnant VP is not problematic as such. Instead, whether or not a non-peripheral phrase may be stranded by remnant VP-topicalisation depends on whether or not the extracting movement operation has to be order preserving.

Finally, the asymmetry between main and embedded clauses as to the applicability of remnant VP-topicalisation in Mainland Scandinavian (section 3.4) illustrated that stranding is only possible if it is independently motivated and complies with higher ranking principles. Hence, stranding of a pronominal object during remnant VP-topicalisation is ruled out as the auxiliary *in situ* prevents OS from complying with order preservation.

Appendix 1: Syntactic complexity of pronouns and "min = max"

In Mainland Scandinavian, OS may only apply to weak pronouns, (62) repeated from (1); neither full DPs, (63), nor syntactically complex pronouns, i.e. modified or conjoined ones, (64) and (65), may undergo OS (cf. footnote 4 on full DP shift in Icelandic).

(62)	Da a.	*Jeg	kyssed	e	ikke		hende.				
		I	kissed		not		her				
	b.	Jeg	kyssed	e <u>hende</u>	ikke		·	•			
(63)	Da a.	Hvor	for læs	te Peter		aldrig		bogen'	?		
		why	rea	d Peter		never		book-t	he		
	b.	*Hvor	for læs	te Peter	<u>bogen</u>	aldrig			?		
(64)	Da a.			te Peter			rig		n her? s here		
	1.	•		d Peter	1 1	nev				(XV:1 · · · 2005 · · 413	7)
	b.	*Hvor	ior iæs	te Peter	den ne	<u>r</u> aldı	rıg		?	(Vikner 2005: 417	()
(65)	Da a.	Han	så		ikke		dig og	<u>hende</u>	sammen.		
		he	saw		not		you an	d her	together		
	b.	*Han	så <u>dig</u>	og hende	ikke				sammen.		
									(Diesin	g & Jelinek 1993: 2	7)

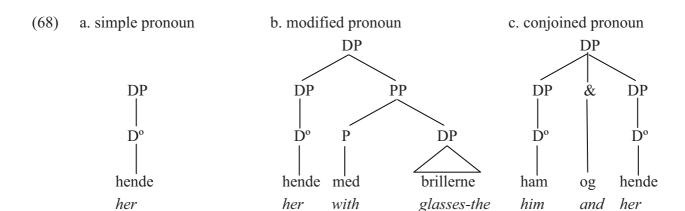
Moreover, focused pronouns cannot undergo OS: Focused pronouns have to stay *in situ* where they follow a medial adverb.

In our analysis, OS is triggered by the constraint SHIFTPRON in (8), repeated here as (67).

(67) SHIFT PRONOUN (SHIFTPRON):

A [-focus] proform ("min = max") must adjoin to the maximal extended VP of its case—assigning verb.

The fact that focused pronouns do not move is captured by the restriction of SHIFTPRON to [-focus] constituents. Furthermore, a syntactically simple pronoun, (68)a, differs from a modified, (68)b, or conjoined one, (68)c, in that the phrasal status of the former but not the one of the latter two is "min = max" (cf. also Josefsson 1999).



By "min = max", we thus mean that the amount of lexical material (i.e. phonologically visible material) dominated by the highest XP (here: DP) must be the same as the amount of lexical material dominated by the lowest X^o (here: D°). This is fulfilled in (68)a, but not in (68)b,c. Hence, SHIFTPRON does not affect modified or conjoined pronouns; they are thus expected to remain *in situ* due to STAY in Mainland Scandinavian.²¹

Tableau 20

Da			SHIFT PRON	STAY	ex.
	1a	Sub V [_{VP} Adv [_{VP} [_{DP=D°} <u>Pron-Obj</u>]]]]	*!		(62)a
F	1b	Sub V $[VP [DP=D^o] Pron-Obj] [VP Adv [VP t_{Obj}]]]$		*	(62)b
F	2a	Sub V [$_{VP}$ Adv [$_{VP}$ [$_{DP\neq D^o}$ Pron-Obj Mod]]]			(64)a
	2b	Sub V $[VP [DP \neq D^o] Pron-Obj Mod] [VP Adv [VP t_{Obj}]]]$		*!	(64)b
F	3a	Sub V [$_{VP}$ Adv [$_{VP}$ [$_{DP\neq D^o}$ Pron-Obj & Pron-Obj]]]]			(65)a
	3b	Sub V [$_{\text{VP}}$ [$_{\text{DP} \neq \text{D}^{\text{o}}}$ $\underline{\text{Pron-Obj}}$ $\underline{\text{\& Pron-Obj}}$ [$_{\text{VP}}$ Adv [$_{\text{VP}}$ t_{Obj}]]]		*!	(65)b

As mentioned in footnote 1, OS is not restricted to weak pronouns in Icelandic; it may also apply to full DPs, (69). Likewise, syntactically complex pronouns may undergo OS; cf. (70) and (71).

Ic a. Af hverju las (69)Pétur aldrei bessa bók? this book whv read Pétur never b. Af hverju las Pétur bessa bók aldrei (70)Ic a. Af hverju las Pétur aldrei bessa hérna? this here whv read Pétur never b. Af hverju las Pétur bessa hérna aldrei ? (Vikner 2005: 417)

²¹ Note that there are elements which are "min = max" in the conjoined structure in (68)c, namely each single conjunct, and which are thus expected to be able to move due to the ranking SHIFTPRON >> STAY. However, movement out of a conjoined structure is impossible for independent and universal reasons (cf. Ross' (1967) coordinate structure constraint).

In Vikner & Engels (2006: 35), we take OS of a complex phrase to be triggered by a more general version of the constraint SHIFTPRON, namely SHIFT.

(72) SHIFT:

A [-focus] element must adjoin to the maximal extended VP of its case-assigning verb.

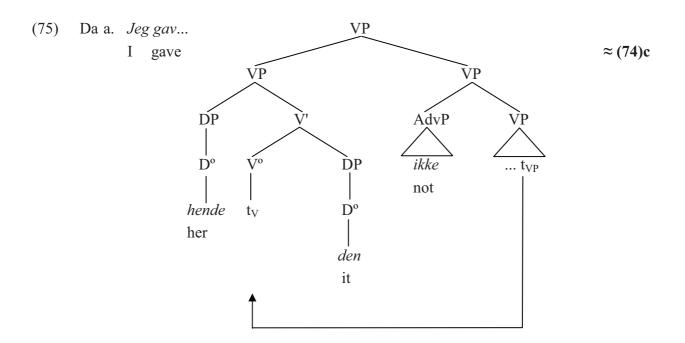
The contrast between Icelandic and Mainland Scandinavian (MSc) in the applicability of OS to complex DPs may be captured by differences in the relative ranking between SHIFT and STAY.

The account presented so far thus captures the facts that OS in Mainland Scandinavian only applies to [-focus] DPs that satisfy the "min = max" condition, and that OS in Icelandic applies to all [-focus] DPs. The account is thus incompatible with some accounts of multiple OS, see (74)c, in that it does not allow the analysis of OS as movement of one constituent including several pronouns (contrary to e.g. Vikner 1989: 151 and Christensen 2005: 157). We thus have to assume that each pronoun has to be moved separately. This is forced by two facts, to do with the adjunction requirement and with the definition of "min = max".

If multiple OS was movement of one constituent including several pronouns, then the shifted objects would not themselves be adjoined to the relevant VP, (75)a. The formulation of SHIFTPRON and of SHIFT is such that every shifted object must fulfill the condition that a shifted object is adjoined to the relevant VP, as is indeed the case in the alternative analysis, where the objects move individually, (75)b; cf. also candidate d in Tableau 21.

Furthermore, if multiple OS was movement of one constituent including several pronouns, then this complex constituent would not satisfy the "min = max" condition (it would be a phrase that was not "min = max" itself but rather included several elements that are "min = max", just like (68)c), and thus it would not be affected by SHIFTPRON; movement of a complex constituent is ruled out by the ranking STAY >> SHIFT in Mainland Scandinavian.

(74)	Da a.	*Jeg	gav		ikke	 <u>hende</u>	den.
		I	gave		not	her	it
	b.	*Jeg	gav	<u>hende</u>	ikke	 	<u>den</u> .
	c.	Jeg	gav	hende den	ikke		



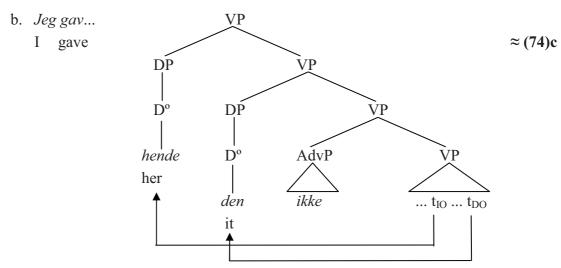


Tableau 21

Da			SHIFT PRON	STAY	e
	a	Sub V [$_{\text{VP}}$ Adv [$_{\text{VP}}$ [$_{\text{DP}=D^{\circ}}$ $\underline{\text{Pron-IO}}$] [$_{\text{DP}=D^{\circ}}$ $\underline{\text{Pron-DO}}$]]]	*!*		(7
	b	Sub V [$_{\text{VP}}$ [$_{\text{DP}=\text{D}^{\circ}}$ $\underline{\text{Pron-IO}}$] [$_{\text{VP}}$ Adv [$_{\text{VP}}$ t_{IO} [$_{\text{DP}=\text{D}^{\circ}}$ $\underline{\text{Pron-DO}}$]]]]]	*!	*	(7
	c	$Sub\ V\ [_{VP}\ [_{VP}\\ [_{DP=D^o}\ \underline{Pron\text{-}IO}]\ [_{DP=D^o}\ \underline{Pron\text{-}DO}]]\ [_{VP}\ Adv\ t_{VP}]]$	*!*	*	(74 (7
F	d	Sub V [$_{\text{VP}}$ [$_{\text{DP=D}^{\circ}}$ Pron-IO] [$_{\text{VP}}$ [$_{\text{DP=D}^{\circ}}$ Pron-DO] [$_{\text{VP}}$ Adv [$_{\text{VP}}$ t_{IO} [$_{\text{DO}}$]]]]		**	(74 (7:

ex.

(74)a

(74)b

(74)c=

(75)a

(74)c=

(75)b

Appendix 2: Structure preservation

There are native speakers of Danish whose intuitions do not agree with the acceptability judgments given above (and the same is true for Swedish, Holmberg 1999:11). These speakers do not allow for object stranding during remnant VP-topicalisation at all, whereas topicalisation of a full VP is found acceptable:

These speakers thus contrast with the speakers whose intuitions were discussed in the main body of this paper, and who had remnant VP-topicalisation provided it observes a linear restriction, permitting stranding of an object in OS position as long as it did not change the VP-internal order of elements (cf. (20) and (21) above).

The more restrictive pattern in (76) can be accounted for if in addition to order preservation, (10), a constraint on structure preservation is considered to restrict OS (cf. Déprez 1994, Müller 2001a, Sells 2001, and Williams 2003).

(77) STRUCTURE PRESERVATION (STRUCPRES):

An independently moved constituent α must not c-command a non-adverbial constituent β if α (or parts of α) were c-commanded by β at the point in the derivation where case assignment took place.

In other words, where ORDPRES says "preserve the sequence", STRUCPRES says "preserve the c-command relationships".

Like ORDPRES, the constraint STRUCPRES overrides SHIFTPRON, which predicts that OS cannot cross an intervening non-adverbial constituent: For example, OS across a verb *in situ* as in (78)b changes the c-command relation between the verb and the shifted object.

In contrast to ORDPRES, however, STRUCPRES (>> SHIFTPRON) rules out stranding of an object during VP-topicalisation. While the linear relations between the verb and the objects are maintained in (76)b,c above, their structural relations are not: The verb (and IO) in Spec,CP is too deeply embedded to c-command the stranded (IO and) DO. Consequently, STRUCPRES >> SHIFTPRON rules out stranding of an object during remnant VP-topicalisation while permitting topicalisation of a full VP.

Tableau 22: No remnant VP-topicalisation

Da		Topic: V	Торіс	STRUC PRES	SHIFT PRON	ex.
P	a	[VP V Pron-IO Pron-DO] Aux Sub Adv tvP			**	(76)a
	b	$[_{VP}~V~t_{IO}~t_{DO}]~Aux~Sub~\underline{Pron\text{-}IO}~\underline{Pron\text{-}DO}~Adv~t_{VP}$		*!*		(76)b
	c	$[_{\mathrm{VP}}\ \mathrm{V}\ \underline{\mathrm{Pron} ext{-}\mathrm{IO}}\ \mathrm{t}_{\mathrm{DO}}]\ \mathrm{Aux}\ \mathrm{Sub}\ \underline{\mathrm{Pron} ext{-}\mathrm{DO}}\ \mathrm{Adv}\ \mathrm{t}_{\mathrm{VP}}$		*!*	*	(76)c
	d	$[_{VP}\ V\ t_{IO}\ \underline{Pron\text{-}DO}]\ Aux\ Sub\ \underline{Pron\text{-}IO}\ Adv\ t_{VP}$		*!*	*	(76)d

Hence, variation between speakers as to the stranding possibilities for objects during VP-topicalisation may be accounted for by a contrast in the ranking of two very similar constraints, one requiring order preservation, the other structure preservation.

Appendix 3: Differentiation according to syntactic complexity: SHIFT, STAY, or both?

Under our formulation of SHIFTPRON in (8), it is predicted that a pronominal object may force stranding of other (right-peripheral) elements such as DPs, PPs, or particles whose movement is not motivated by an independent constraint, i.e. which cannot move to a sentence-medial position otherwise. This prediction is not borne out. A right-peripheral particle/PP cannot be stranded, irrespective of whether or not the pronominal object is stranded as well; cf. (79)c,d/(80)c,d. The only option is to topicalise the whole VP as in (79)a and (80)a. (The b-sentences in (79) and (80) are ruled out by ORDPRES >> SHIFTPRON, see section 3.2 above.)

(79)	Da a.	$[_{\mathrm{VP}}$	Smidt den	<u>ud</u>]	har	jeg		ikke.		
			thrown it	out	have	I		not		
	b.	*[VP	Smidt	<u>ud</u>]	har	jeg	<u>den</u>	ikke.		
	c.	*[VP	Smidt den]	har	jeg		ikke	<u>ud</u> .	
	d.	*[_{VP}	Smidt]	har	jeg	<u>den</u>	ikke	<u>ud</u> .	
(80)	Da a.	$[_{\mathrm{VP}}$	Stillet det	på bor	det]	har	jeg		ikke.	
			put it	on tab	le-the	have	I		not	
	b.	*[VP	Stillet	på bor	det]	har	jeg	<u>det</u>	ikke.	
	c.	*[VP	Stillet det]	har	jeg		ikke	<u>på bordet</u> .
	d.	*[_{VP}	Stillet]	har	jeg	<u>det</u>	ikke	<u>på bordet</u> .

We might be able to rule out (79)c/(80)c: Assuming that TOPIC requires the verb and the object to occur in Spec,CP, STAY predicts that stranding of the particle/PP alone is not possible since its movement out of VP is not motivated otherwise. (Remember that taking along to much material to Spec,CP does not violate TOPIC.)

Tableau 23

Da		Topic: V & Obj-Pron	Торіс	ORD PRES	SHIFT PRON	STAY	ex.
P	a	[VP V Obj-Pron PP] Aux Sub Adv tvP			*		(80)a
	b	[VP V t _{Pron} PP] Aux Sub Obj-Pron Adv t _{VP}	*!	*		*	(80)b
	c	[_{VP} V <u>Obj-Pron</u> t _{PP}] Aux Sub Adv <u>PP</u> t _{VP}			*	*!	(80)c
	d	$[_{\mathrm{VP}}\ \mathbf{V}\ \mathbf{t_{Pron}}\ t_{\mathrm{PP}}]\ \mathrm{Aux}\ \mathrm{Sub}\ \mathbf{\underline{Obj-Pron}}\ \mathrm{Adv}\ \mathbf{\underline{PP}}\ t_{\mathrm{VP}}$	*!			**	(80)d

However, the ranking SHIFTPRON >> STAY falsely predicts that a phrase (particle/PP) which follows a pronominal object within VP is stranded together with the object if only the verb is marked as [+topic]. The object thus does not have to occur in Spec,CP, and SHIFTPRON requires its stranding in clause-medial position. In order to satisfy ORDPRES, the right-peripheral particle/PP has to be stranded as well. The extra violation of STAY induced by stranding of the particle/PP is now "legalized" by the satisfaction of the higher ranking constraints ORDPRES and SHIFTPRON.

Tableau 24

Da		Topic: V	Торіс	ORD PRES	SHIFT PRON	STAY	ex.
	a	[VP V Obj-Pron PP] Aux Sub Adv tVP			*!		(80)a
	b	[_{VP} V t _{Pron} <u>PP</u>] Aux Sub <u>Obj-Pron</u> Adv t _{VP}		*!		*	(80)b
	c	[_{VP} V <u>Obj-Pron</u> t _{PP}] Aux Sub Adv <u>PP</u> t _{VP}			*!	*	(80)c
8	d	[vp V t _{Pron} t _{PP}] Aux Sub <u>Obj-Pron</u> Adv <u>PP</u> t _{VP}				**	(80)d

As mentioned in Appendix 1, while OS is restricted to pronominal elements in Mainland Scandinavian, not only pronouns but also full DPs may undergo OS in Icelandic. This contrast as to the applicability of OS to phrases of different complexity may be accounted for by the ranking of STAY relative to SHIFT and SHIFTPRON; cf. (73).

To resolve the problem described above, it would seem necessary (instead of distinguishing between elements for which movement is/is not independently motivated, i.e. for which there is a constraint above STAY) to distinguish between elements for which movement is/is not explicitly prohibited. Hence, instead of differentiating SHIFT according to syntactic complexity (SHIFT and SHIFTPRON), apparently STAY must be differentiated according to syntactic complexity, STAY and STAYCOMPLEX (= Don't move elements that are "min \neq max" (i.e. non-pronominals)). The crosslinguistic variation as to the mobility of elements of different syntactic complexity might then be accounted for by differences in the ranking between SHIFT and STAYCOMPLEX (and STAY).

The ranking STAYCOMPLEX >> SHIFT >> STAY in Mainland Scandinavian predicts that OS is only possible for weak pronouns but not for more complex phrases. In contrast, the ranking SHIFT >>

STAYCOMPLEX, STAY permits OS of both pronouns and full DPs in Icelandic. ORDPRES >> SHIFT makes sure that OS only takes place if the base order is maintained (e.g. if the verb is moved to a position further leftwards).

(82)	Da a.	Hvorfor	læste	Peter		ikke	 bogen?
		why	read	Peter		not	book-the
	b.	*Hvorfor	læste	Peter	<u>bogen</u>	ikke	 ?
(83)	Da a.	*Hvorfor	læste	Peter		ikke	 den?
		why	read	Peter		not	it
	b.	Hvorfor	læste	Peter	<u>den</u>	ikke	 ?

Tableau 25

Da				Торіс	ORD PRES	STAY COMPLEX	SHIFT	STAY
6.11 DD	F	1a	wh V Sub Adv <u>DP-Obj</u>				*	
full DP		1b	wh V Sub <u>DP-Obj</u> Adv t _{DP}			*!		*
		2a	wh V Sub Adv Pron-Obj				*!	
pronoun –	P	2b	wh V Sub Pron-Obj Adv t _{Pron}					*

Though pronominal OS is required (SHIFT >> STAY), it is predicted that stranding of the pronominal object during VP-topicalisation is not possible if there is a phrase within VP that follows the object (i.e. particle or PP). ORDPRES rules out stranding of the object alone, and the demand for pronominal OS cannot force stranding of the following phrase due to the higher ranking STAYCOMPLEX.

Tableau 26

Da		Topic: V	Торіс	ORD PRES	STAY COMPL	SHIFT	STAY	ex.
F	a	[VP V Pron-Obj PP] Aux Sub Adv tVP				**		(80)a
	b	$[_{\mathrm{VP}}\ \mathbf{V}\ t_{\mathrm{Pron}}\ \underline{\mathrm{PP}}]\ \mathrm{Aux}\ \mathrm{Sub}\ \underline{\mathrm{Pron-Obj}}\ \mathrm{Adv}\ t_{\mathrm{VP}}$		*!		*	*	(80)b
	c	$[_{VP} \text{ V} \underline{\text{Pron-Obj}} \text{ t}_{PP}] \text{ Aux Sub Adv } \underline{PP} \text{ t}_{VP}$			*!	*	*	(80)c
	d	$\begin{bmatrix} VP & V & t_{Pron} & t_{PP} \end{bmatrix}$ Aux Sub <u>Pron-Obj</u> Adv <u>PP</u> t_{VP}			*!		**	(80)d

However, a distinction between STAY and STAYCOMPLEX would seem not to suffice. Though both pronominal and non-pronominal arguments may undergo OS in Icelandic (SHIFT >> STAYCOMPLEX, STAY), movement of adverbials depends on syntactic complexity. While pronominal adverbials are able to undergo OS, (84), complex adverbials are not – independent of their syntactic category, PP or DP, and independent of whether they are free or selected for; cf. (85) and (86).

ex.

(82)a (82)b (83)a (83)b



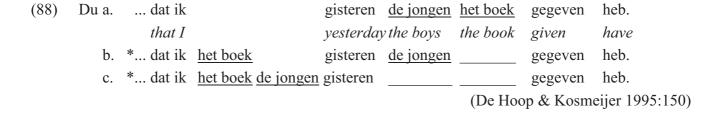
To account for the asymmetry in OS of arguments and OS of adverbials, we would need an even more specialized version of STAYCOMPLEX, namely STAYCOMPLEXADVERBIAL (which outranks SHIFT).

Tableau 27

Ic			ORD PRES	STAY COMP ADV	SHIFT	STAY COMP	STAY	ex.
F	1a	wh V Sub Adv <u>PP-Adv</u>			*			(85)a
	1b	wh V Sub $\underline{PP\text{-}Adv}$ Adv t_{PP}		*!		*	*	(85)b
	2a	wh V Sub Adv <u>Pron-Adv</u>			*!			(84)a
F	2b	wh V Sub <u>Pron-Adv</u> Adv t _{Pron}					*	(84)b

Furthermore, although the cross-linguistic variation as to the mobility of pronouns and more complex phrases might be accounted for by a differentiation of STAY (i.e. STAY, STAYCOMPLEX, and STAYCOMPLEXADVERBIAL), the distinction between SHIFT and SHIFTPRON will still have to be retained. In Vikner & Engels (2006), we argued that Scrambling (SCR) in the West Germanic languages might be treated on a par with OS in the Scandinavian languages by considering both movement devices to be triggered by SHIFT (and SHIFTPRON). Though both pronouns and complex phrases may undergo movement in Dutch (SHIFT >> STAY, STAYCOMPLEX), they contrast in the ability to scramble across an intervening argument, i.e. in whether or not their movement has to maintain the ordering relations (ORDPRES).

(87)	Du a.	* dat Jan			waarschijnlijk	<u>Marie</u>	<u>'t</u>	gegeven	heeft.
		that Jan			probably	Marie	it	given	has
	b.	dat Jan	<u>'t</u>		waarschijnlijk	Marie	_	gegeven	heeft.
	c.	dat Jan	<u>'t</u>	<u>Marie</u>	waarschijnlijk			gegeven	heeft.



This asymmetry may only be accounted for if movement of pronouns and movement of more complex phrases are motivated by distinct constraints, SHIFTPRON and SHIFT. Only if pronominal movement is additionally triggered by some other constraint than movement of full DPs, this asymmetry might be derived from differences in the constraint ranking relative to ORDPRES: SHIFTPRON >> ORDPRES >> SHIFT.

Hence, we would seem to end up with differentiation according to syntactic complexity twice, for Shift and for Stay. (Note that ShiftPron would have to be ranked below StayComplex in Mainland Scandinavian to avoid the problem of the original approach.)

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