

# msb(x) in O(1) steps using 5 multiplications

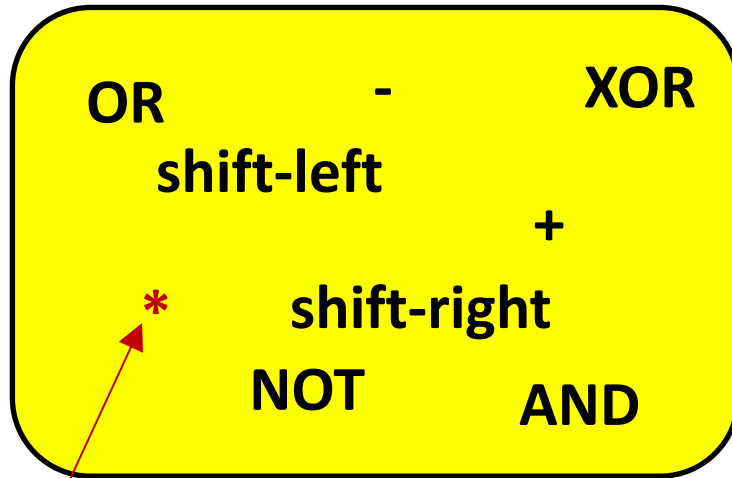
[M.L. Fredman, D.E. Willard, *Surpassing the information-theoretic bound with fusion trees*, Journal of Computer and System Sciences 47 (3): 424–436, 1993]

$$\begin{aligned}t_1 &\leftarrow h \& (x \mid ((x \mid h) - l)), \quad \text{where } h = 2^{g-1}l \text{ and } l = (2^n - 1)/(2^g - 1); \\y &\leftarrow (((a \bullet t_1) \bmod 2^n) \gg (n - g)) \bullet l, \quad \text{where } a = (2^{n-g} - 1)/(2^{g-1} - 1); \\t_2 &\leftarrow h \& (y \mid ((y \mid h) - b)), \quad \text{where } b = (2^{n+g} - 1)/(2^{g+1} - 1); \\m &\leftarrow (t_2 \ll 1) - (t_2 \gg (g - 1)), \quad m \leftarrow m \oplus (m \gg g); \\z &\leftarrow (((l \bullet (x \& m)) \bmod 2^n) \gg (n - g)) \bullet l; \\t_3 &\leftarrow h \& (z \mid ((z \mid h) - b)); \\\lambda &\leftarrow ((l \bullet ((t_2 \gg (2g - \lg g - 1)) + (t_3 \gg (2g - 1)))) \bmod 2^n) \gg (n - g).\end{aligned}$$

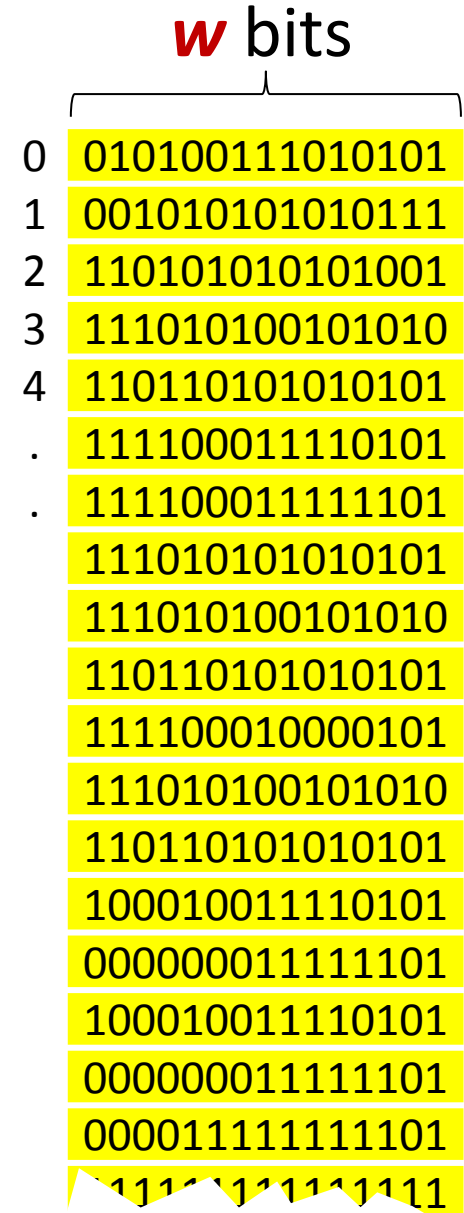
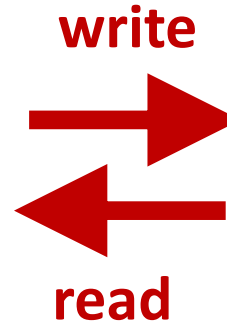
Word size  $n = g \cdot g$ ,  $g$  a power of 2

# RAM model (Random Access Machine)

CPU,  $O(1)$  registers



not an  $AC^0$  operation



$$\text{Complexity} = \begin{cases} \# \text{ reads} \\ + \# \text{ writes} \\ + \# \text{ instructions performed} \end{cases}$$

# Radix Sort

$$w/\log n \times \text{COUNTING-SORT} \\ = O(n \cdot w/\log n)$$

**GOAL:** Design algorithms with complexity independent of  $w$  (**trans-dichotomous**)

[M.L. Fredman, D.E. Willard, *Surpassing the information-theoretic bound with fusion trees*, Journal of Computer and System Sciences 47 (3): 424–436, 1993]

RADIX-SORT( $A, d$ )

```
1 for  $i = 1$  to  $d$ 
2   use a stable sort to sort array  $A$  on digit  $i$ 
```

COUNTING-SORT( $A, B, k$ )

```
1 let  $C[0..k]$  be a new array
2 for  $i = 0$  to  $k$ 
3    $C[i] = 0$ 
4 for  $j = 1$  to  $A.length$ 
5    $C[A[j]] = C[A[j]] + 1$ 
6 //  $C[i]$  now contains the number of elements equal to  $i$ .
7 for  $i = 1$  to  $k$ 
8    $C[i] = C[i] + C[i - 1]$ 
9 //  $C[i]$  now contains the number of elements less than or equal to  $i$ .
10 for  $j = A.length$  downto 1
11    $B[C[A[j]]] = A[j]$ 
12    $C[A[j]] = C[A[j]] - 1$ 
```

[Cormen et al. 2009]

$w$  bits

1	010100111010101
2	001010101010111
3	110101010101001
4	111010100101010
·	110110101010101
·	111100011110101
	111100011111101
	111010101010101
	111010100101010
	110110101010101
	111100010000101
	111010100101010
	110110101010101
$n$	100010011110101
	000000000000000
	000000000000000
	000000000000000
	000000000000000
	000000000000000

# Sorting

Comparison	$O(n \cdot \log n)$
Radix-Sort	$O(n \cdot w / \log n)$
[T96]	$O(n \cdot \log \log n)$
[HT02]	$O(n \cdot \sqrt{\log \log n})$ exp.
[AHNR95]	$O(n)$ exp., $w \geq \log^{2+\varepsilon} n$
[BBN13]	$O(n)$ exp., $w \geq \log^2 n \cdot \log \log n$

[M. Thorup, *On RAM Priority Queues*. ACM-SIAM Symposium on Discrete Algorithms, 59-67, 1996]

[Y. Han, M. Thorup, *Integer Sorting in  $O(n \sqrt{\log \log n})$  Expected Time and Linear Space*, IEEE Foundations of Computer Science, 135-144, 2002]

[A. Andersson, T. Hagerup, S. Nilsson, R. Raman: *Sorting in linear time?* ACM Symposium on Theory of Computing, 427-436, 1995]

[D. Belazzougu, G. S. Brodal, J. A. S. Nielsen, *Expected Linear Time Sorting for Word Size  $\Omega(\log^2 n \cdot \log \log n)$* , manuscript 2013]

# Priority queues (Insert/DeleteMin)

Comparison	$O(\log n)$
[T96]	$O(\log \log n)$
[HT02,T07]	$O(\sqrt{\log \log n})$ exp.

[M. Thorup, *On RAM Priority Queues*. ACM-SIAM Symposium on Discrete Algorithms, 59-67, 1996]

[Y. Han, M. Thorup, *Integer Sorting in  $O(n \sqrt{\log \log n})$  Expected Time and Linear Space*, IEEE Foundations of Computer Science, 135-144, 2002]

[Mikkel Thorup, *Equivalence between priority queues and sorting*, J. ACM 54(6), 2007]

# Dynamic predecessor searching ( $w$ dependent)

[vKZ77]  $O(\log w)$

[BF02]  $O(\log w / \log \log w)$  (static, space  $n^{O(1)}$ )

$O(\log w / \log \log w \cdot \log \log n)$  (dynamic)

[P. van Emde Boas, R. Kaas, and E. Zijlstra, *Design and Implementation of an Efficient Priority Queue*, Mathematical Systems Theory 10, 99-127, 1977]

[P. Beame, F.E. Fich, *Optimal Bounds for the Predecessor Problem and Related Problems*. J. Comput. Syst. Sci. 65(1): 38-72, 2002]

[M. Patrascu, M. Thorup, *Time-space trade-offs for predecessor search*, ACM Symposium on Theory of Computing, 232-240, 2006]

# Dynamic predecessor searching ( $w$ independent)

Comparison  $O(\log n)$

[FW93]  $O(\log n / \log \log n)$

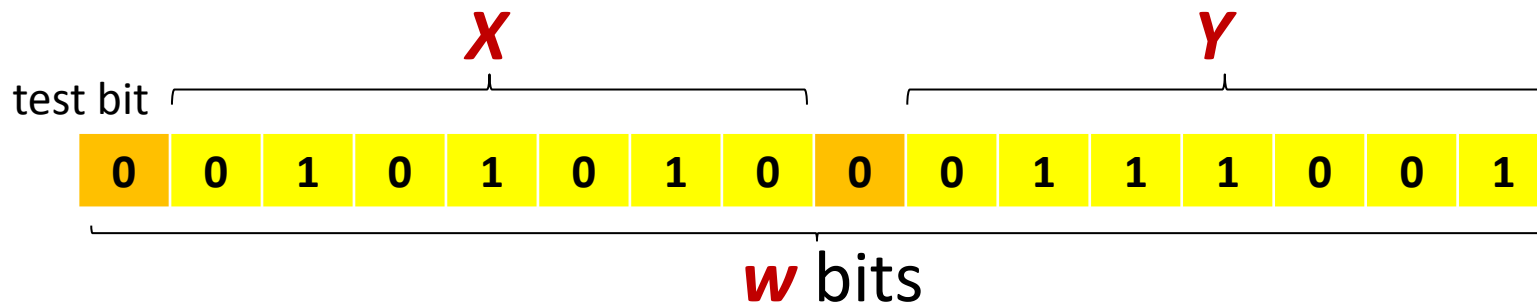
[AT07]  $O(\sqrt{\log n / \log \log n})$

[M.L. Fredman, D.E. Willard, *Surpassing the information-theoretic bound with fusion trees*, Journal of Computer and System Sciences 47 (3): 424–436, 1993]

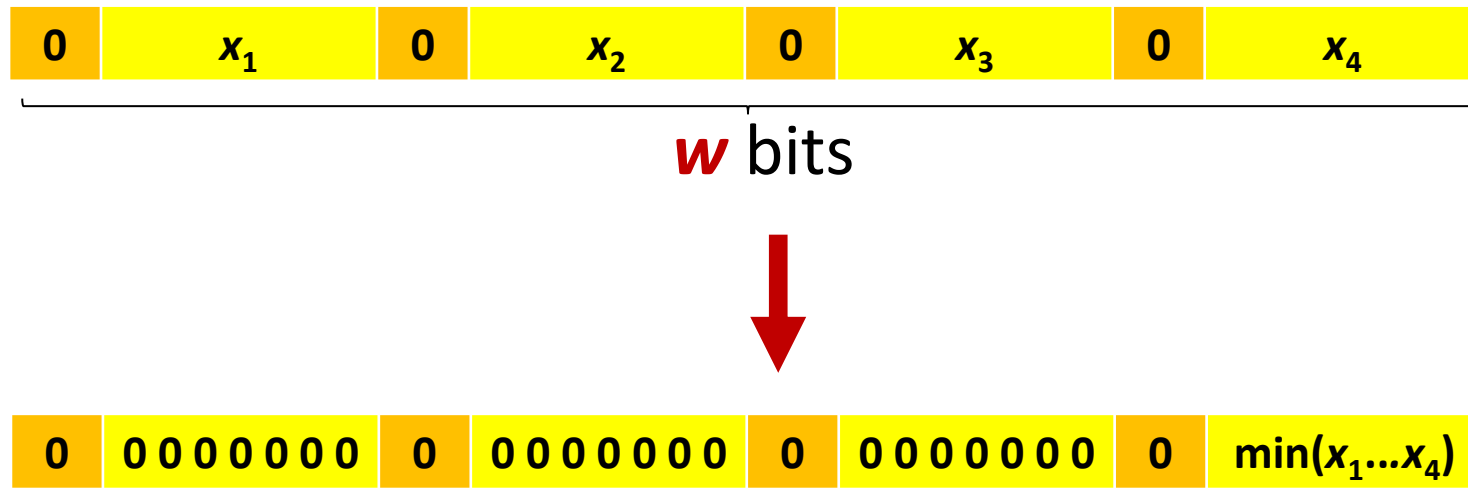
[A. Andersson, M. Thorup, *Dynamic ordered sets with exponential search trees*. J. ACM 54(3): 13, 2007]

# Sorting two elements in one word...

...without comparisons



# Finding minimum of $k$ elements in one word... ...without comparisons

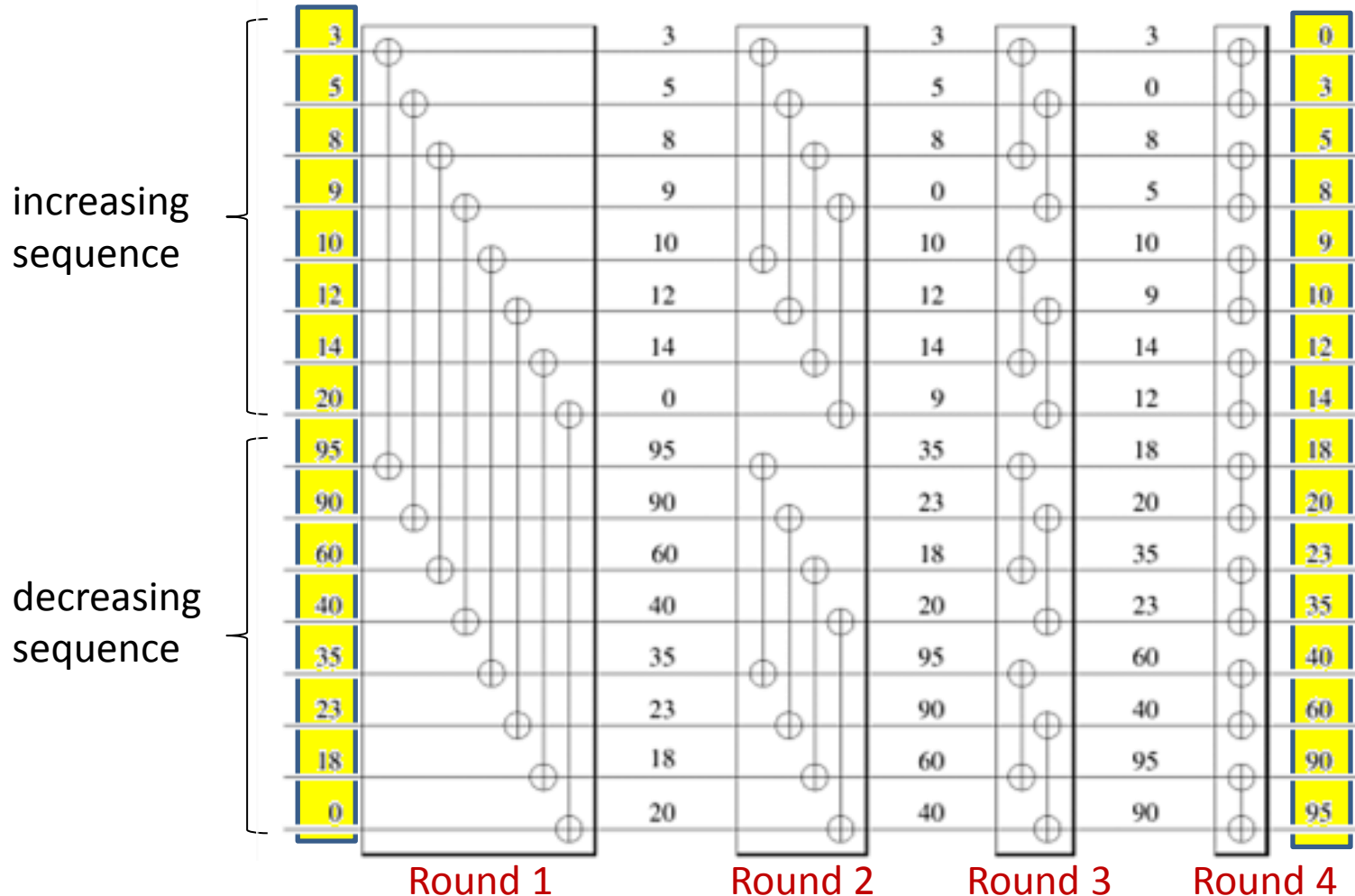


- Searching a sorted set...

# Batcher's bitonic merger

[K.E. Batcher, *Sorting Networks and Their Applications*, AFIPS Spring Joint Computing Conference 1968: 307-314]

[S. Albers, T. Hagerup, *Improved Parallel Integer Sorting without Concurrent Writing*, ACM-SIAM symposium on Discrete algorithms, 463-472, 1992] ← word implementation,  $O(\log \text{#elements})$  operations

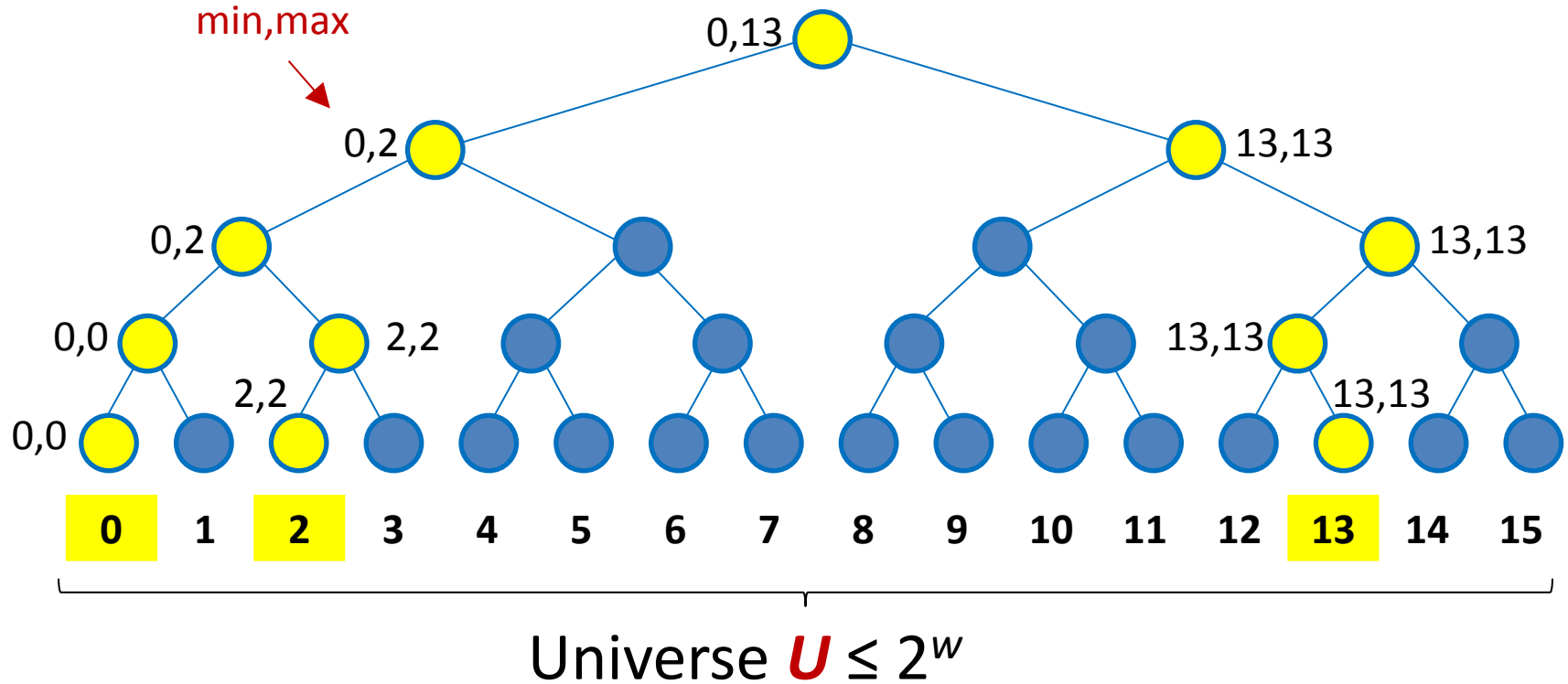


Remark: Sorting networks recently revived interest for GPU sorting



# van Emde Boas (the idea in the static case)

[P. van Emde Boas, R. Kaas, and E. Zijlstra, *Design and Implementation of an Efficient Priority Queue*, Mathematical Systems Theory 10, 99-127, 1977]

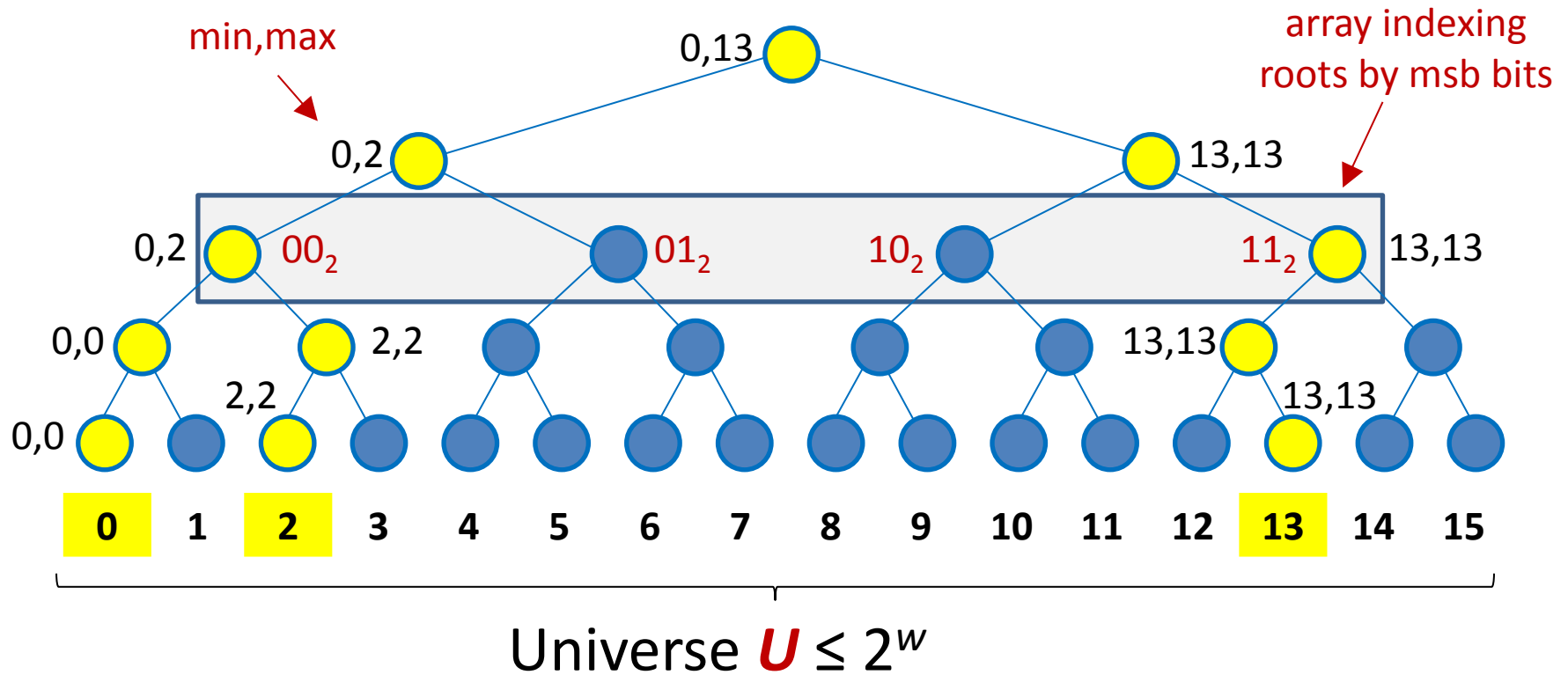


Predecessor search = find nearest yellow ancestor  
= binary search on path  $O(\log \log U)$

Space  $O(U)$  ☹️

# van Emde Boas (addressing)

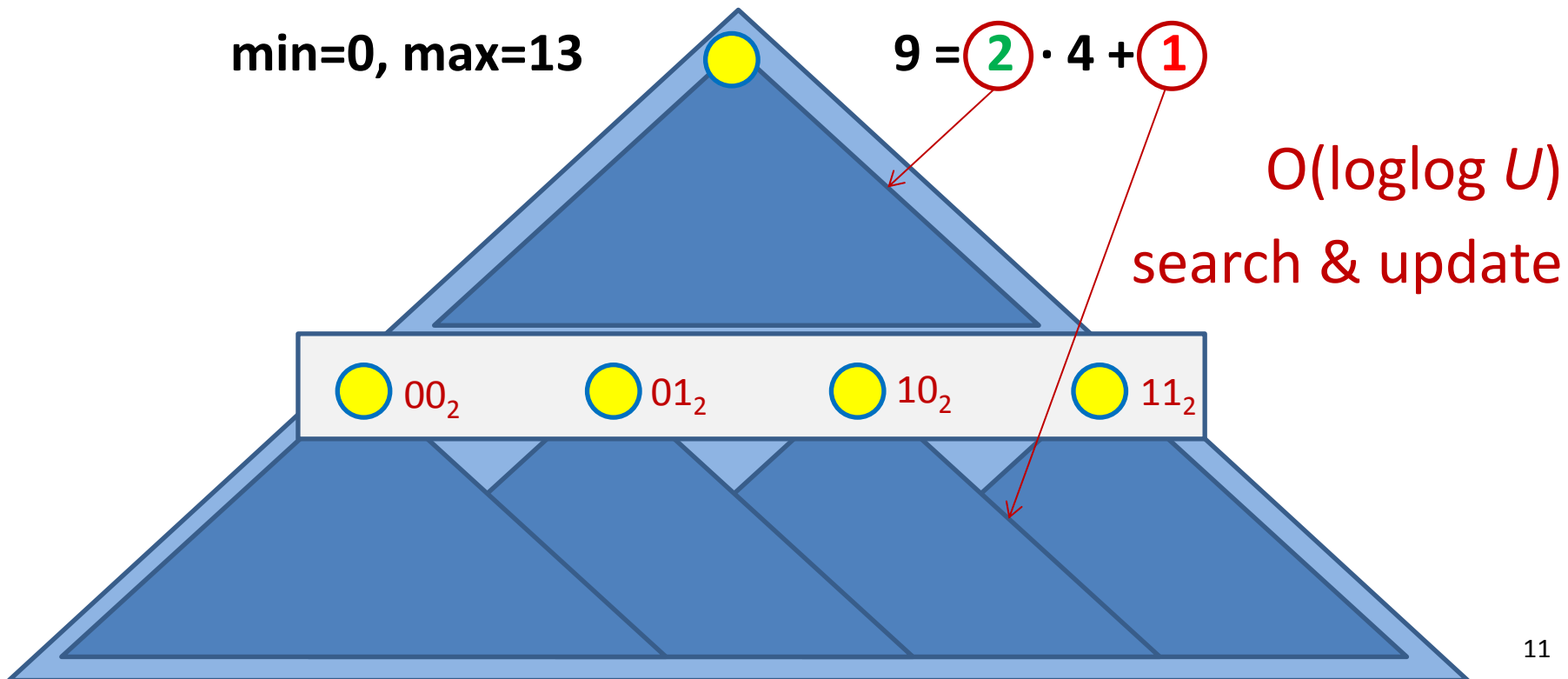
[P. van Emde Boas, R. Kaas, and E. Zijlstra, *Design and Implementation of an Efficient Priority Queue*, Mathematical Systems Theory 10, 99-127, 1977]



# van Emde Boas (dynamic)

[P. van Emde Boas, R. Kaas, and E. Zijlstra, *Design and Implementation of an Efficient Priority Queue*, Mathematical Systems Theory 10, 99-127, 1977]

- 1 recursive top-structure and  $\sqrt{U}$  bottom structures of the most and least significant  $\log U/2$  bits
- Keep min & max outside structure  $\Rightarrow$  1 recursive call



# van Emde Boas (pseudo code)

[P. van Emde Boas, R. Kaas, and E. Zijlstra, *Design and Implementation of an Efficient Priority Queue*, Mathematical Systems Theory 10, 99-127, 1977]

**succ(*i*)**

$\{ i = a\sqrt{n} + b \}$

**if**  $i > \max$  **then return**  $+\infty$

**if**  $i \leq \min$  **then return**  $\min$

**if**  $\text{size} \leq 2$  **then return**  $\max$

**if**  $\text{bottom}[a].\text{size} > 0$  **and**  $\text{bottom}[a].\max \geq b$  **then**

**return**  $a\sqrt{n} + \text{bottom}[a].\text{succ}(b)$

**else if**  $\text{top}.\max \leq a$  **then return**  $\max$

$c := \text{top}.\text{succ}(a + 1)$

**return**  $c\sqrt{n} + \text{bottom}[c].\min$

**insert(*i*)**

**if**  $\text{size} = 0$  **then**  $\max := \min := i$

**if**  $\text{size} = 1$  **then**

**if**  $i < \min$  **then**  $\min := i$  **else**  $\max := i$

**if**  $\text{size} \geq 2$  **then**

**if**  $i < \min$  **then**  $\text{swap}(i, \min)$

**if**  $i > \max$  **then**  $\text{swap}(i, \max)$

$\{ i = a\sqrt{n} + b \}$

**if**  $\text{bottom}[a].\text{size} = 0$  **then**  $\text{top}.\text{insert}(a)$

$\text{bottom}[a].\text{insert}(b)$

$\text{size} := \text{size} + 1$

**delete(*i*)**

**if**  $\text{size} = 2$  **then**

**if**  $i = \max$  **then**  $\max := \min$  **else**  $\min := \max$

**if**  $\text{size} > 2$  **then**

**if**  $i = \min$  **then**  $i := \min := \text{top}.\min \cdot \sqrt{n} + \text{bottom}[\text{top}.\min].\min$

**else if**  $i = \max$  **then**  $i := \max := \text{top}.\max \cdot \sqrt{n} + \text{bottom}[\text{top}.\max].\max$

$\{ i = a\sqrt{n} + b \}$

$\text{bottom}[a].\text{delete}(b)$

**if**  $\text{bottom}[a].\text{size} = 0$  **then**  $\text{top}.\text{delete}(a)$

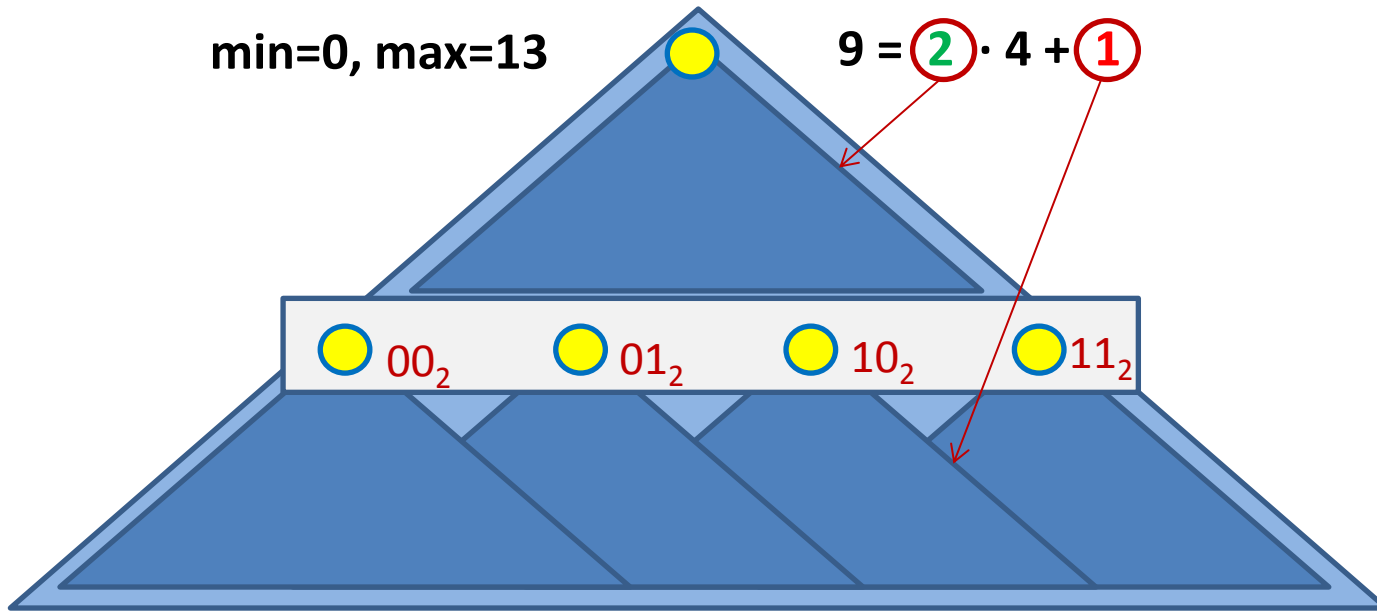
$\text{size} := \text{size} - 1$

$O(\log \log U)$

# van Emde Boas (linear space)

[P. van Emde Boas, R. Kaas, and E. Zijlstra, *Design and Implementation of an Efficient Priority Queue*, Mathematical Systems Theory 10, 99-127, 1977]

[Dan E. Willard, *Log-logarithmic worst-case range queries are possible in space  $\Theta(N)$* , Information Processing Letters 17(2): 81-84, 1983]



- Buckets = lists of size  $O(\log \log U)$ , store only bucket minimum in vEB
  - (Dynamic perfect) Hashing to store all  $O(n)$  non-zero nodes of vEB
- ➔  $O(n)$  space,  $O(\log \log U)$  search

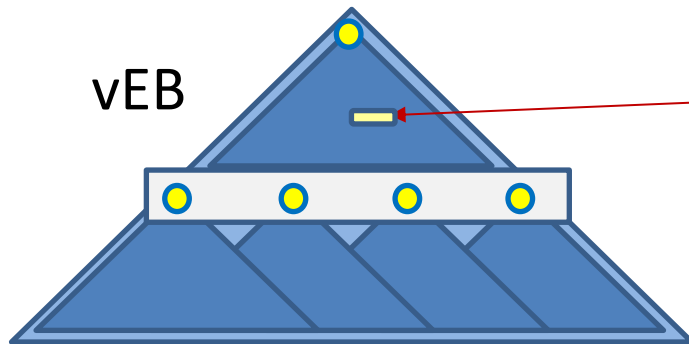
# $O(n \cdot \log \log n)$ Sorting

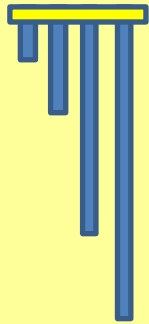
[M. Thorup, *On RAM Priority Queues*. ACM-SIAM Symposium on Discrete Algorithms, 59-67, 1996]

- $\log \log n$  recursive levels of vEB
  - $\Rightarrow$  bottom of recursion  **$\log U / \log n$  bit** elements
- subproblems of  **$k$**  elements stored in  $k / \log n$  words
  - $\Rightarrow$  mergesort  $O(\underbrace{k \cdot \log k}_{\text{merge-sort}} \cdot \underbrace{\log \log n / \log n}_{\substack{\text{merging} \\ 2 \text{ words}}} \cdot \underbrace{\log n}_{\substack{\text{\#elements} \\ \text{per word}}})$

## $O(\log \log n)$ priority queue

[M. Thorup, *On RAM Priority Queues*. ACM-SIAM Symposium on Discrete Algorithms, 59-67, 1996]



  $\leq \log n$  min in single word

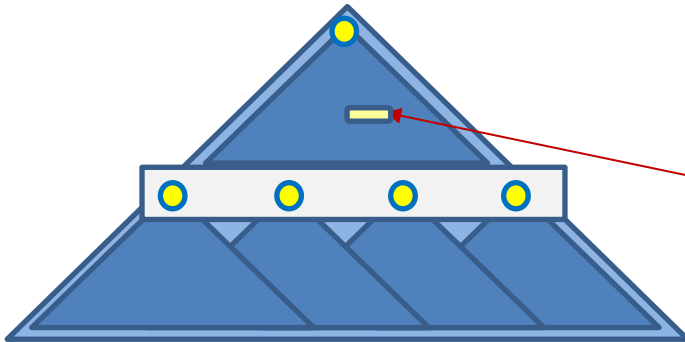
Sorted lists of size  $2^i$  in  $2^i / w$  words

# $O(\sqrt{\log n})$ Dynamic predecessor searching

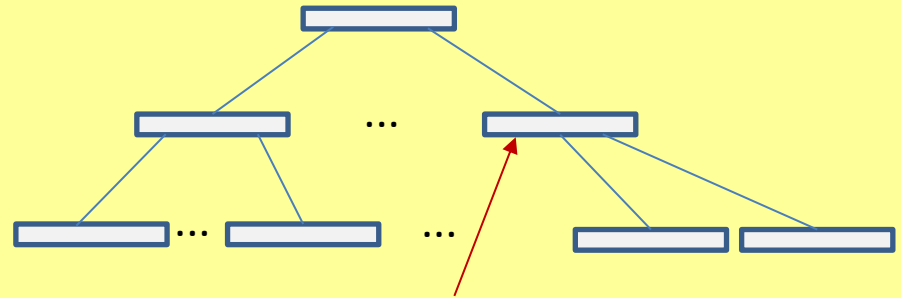
[Arne Andersson, *Sublogarithmic Searching Without Multiplications*. IEEE Foundations of Computer Science, 655-663, 1995]

[Arne Andersson, Mikkel Thorup, *Dynamic Ordered Sets with Exponential Search Trees*, J. ACM 54(3): 13, 2007]

vEB -  $\sqrt{\log n}$  recursive levels



- $w / 2^{\sqrt{\log n}}$  bit elements
- packed B-tree of degree  $\Delta = 2^{\sqrt{\log n}}$  and height  $\log n / \log \Delta = \sqrt{\log n}$



degree  $\Delta$   
search keys sorted in one word

- $O(1)$  time navigation at node

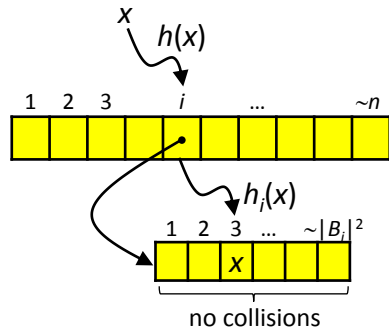
**Sorting in  $O(n)$  time ?**



# Dynamic perfect hashing

[Michael L. Fredman, János Komlós, Endre Szemerédi, *Storing a Sparse Table with  $O(1)$  Worst Case Access Time*, J. ACM 31(3): 538-544, 1984]

[Martin Dietzfelbinger, Anna R. Karlin, Kurt Mehlhorn, Friedhelm Meyer auf der Heide, Hans Rohnert, Robert Endre Tarjan, *Dynamic Perfect Hashing: Upper and Lower Bounds*, SIAM J. Computing 23(4): 738-761, 1994]



- Prime  $p \geq U$
- $H = \{ h_k \mid 0 < k < p \wedge h_k(x) = k \cdot x \bmod p \}$
- $\Pr[ h(x) = h(y) ] = 1/\text{table-size}$  – pr.  $\Omega(1)$  no collision in bucket
- $E[ \sum_i |B_i|^2 ] = O(n^2/\text{table-size})$  – pr.  $\Omega(1)$  total bucket space  $O(n)$

- 2-level hashing of set  $S$  of size  $n$
- Random hash functions from  $H$ :  $h, h_1, h_2, \dots$  (mod table size)
- Bucket  $B_i = \{ x \in S \mid h(x) = i \}$
- Rehash:
  - whole table if  $\sum_i |B_i|^2 \geq c \cdot n \rightarrow$  new table size  $n$  – all hash functions new
  - bucket if collision  $\rightarrow$  new bucket size  $|B_i|^2$  – one new  $h_i$
- Search  $O(1)$  worst-case & updates  $O(1)$  expected amortized